

Piezoelectric nanogenerators based on nanowires

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Outline

I. Introduction

Context : piezoelectricity

Interest of Nanowires (NWs)

II. Nanogenerators : Experiments and theory

Origin, working principle

Theory

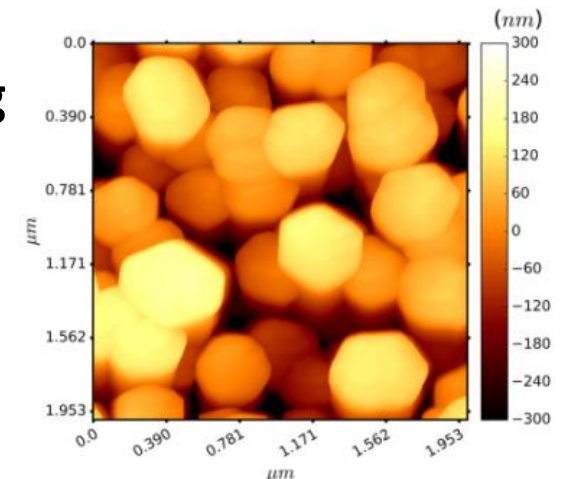
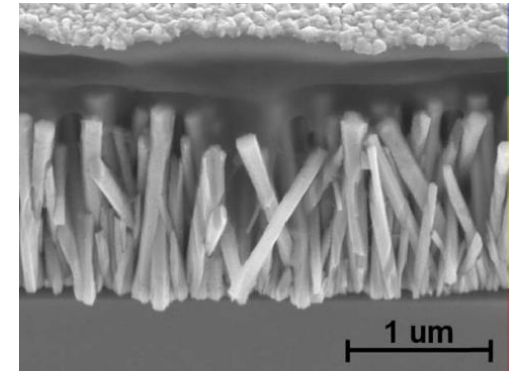
Doping and Surface traps

III. Individual NWs: Experiments and theory using AFM

NWs vs. thin films (PFM)

Theory vs. experiments

IV. Conclusions



Introduction

Introduction (1/9)

Piezoelectricity

What is the piezoelectric effect?



Pierre & Jacques Curie (1880)

Materials with non centro-symmetric crystal structure

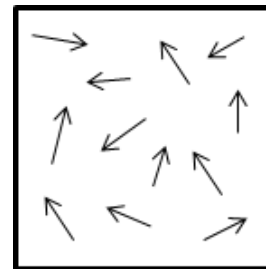
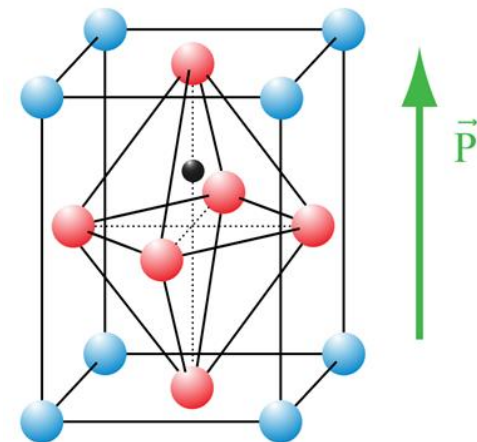
(ex: Quartz, PZT, GaN, ZnO, AlN, etc)

Sometimes "poling" is necessary
(High electric field at high T.)

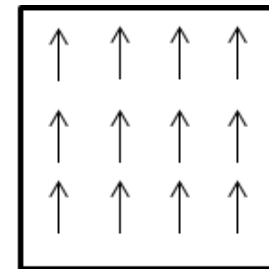
Ex: PZT @ $\sim 200^{\circ}\text{C}$ and 1MV/m

PZT crystal structure

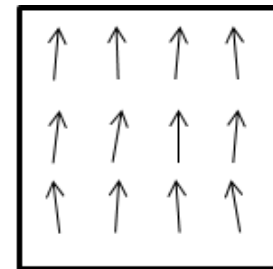
Pb^{2+} O^{2-} $\text{Ti}^{4+}, \text{Zr}^{4+}$



Unpoled



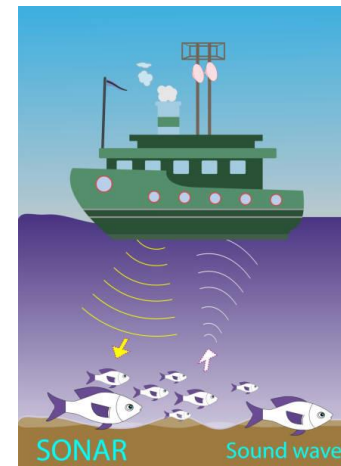
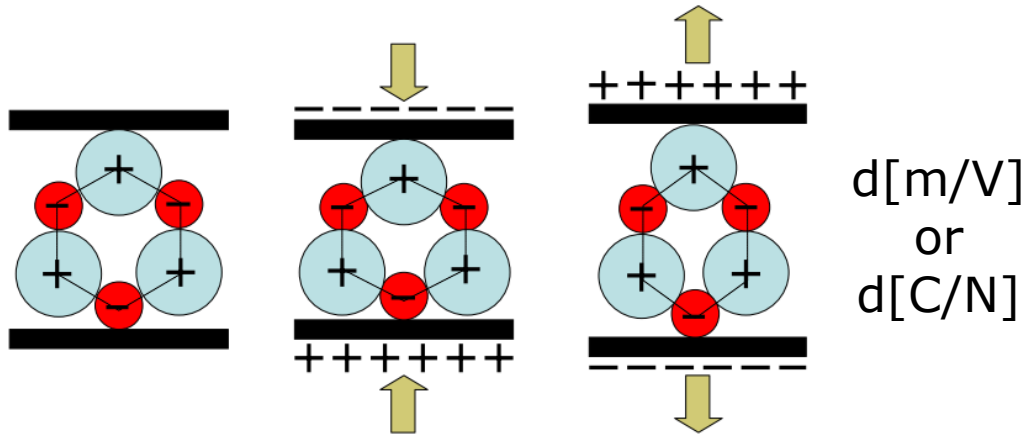
During poling



After poling

Context : Piezoelectric energy conversion

Materials with nonsymmetric crystal structure
(ex: Quartz, PZT, **AlN**, **GaN**, **ZnO**, etc) → wurtzite structure



1) Direct effect : Force → Electric field

Sensors and energy harvesting

2) Reverse effect : Electric field → strain

Actuators



And many others...

Introduction (3/9)

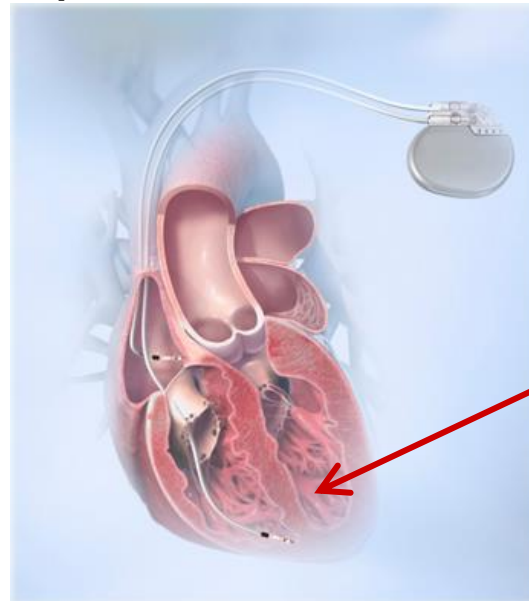
Energy harvesting applications

■ Portable devices

Batteryless TV remote control
(Arveni for Philips, 2011)



Pacemakers
(source: St. Jude Medical inc., Medtronic)



1gr, 1cm³

Introduction (4/9)

Materials and properties

- Electromechanical coupling factor (efficiency in the energy conversion):

$$W = \frac{1}{2} E S^2 V \quad E_c = \frac{1}{2} E^2 \frac{d_{33}^2 S^2}{\epsilon} V \quad k^2 = \frac{E_c}{W} = E \frac{d_{33}^2}{\epsilon}$$

Material	Bulk material parameters			
	d_{33} [pm/V]	E [GPa]	ϵ/ϵ_0	k^2
PVDF	-25	0.39	13	0.002
PZT	650	50	3800	0.62
AlN	4	330	9	0.06
ZnO	9.93	140	10.9	0.14
GaN	1.86	300	8.9	0.013
PMN-PT	1400	18	4713	0.84

Most used! →

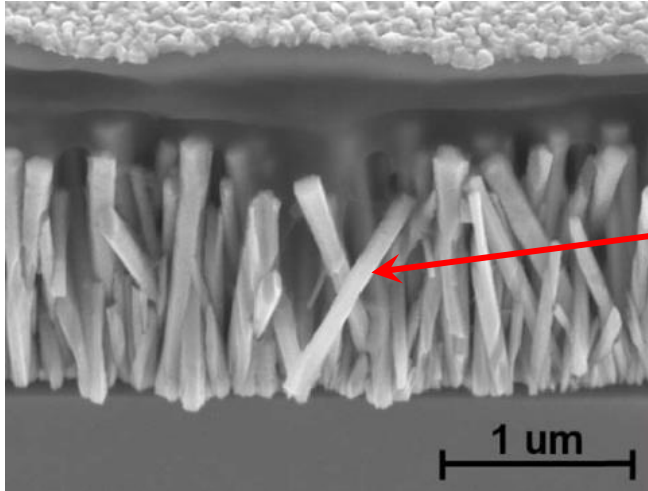
→ Lead, ~1000°, ~750°

Lead free
low T (<400°C)

→ Lead, ~750°

Introduction (5/9)

Nanogenerators Based on piezoelectric nanowires



Nanowires:

- A few μm long
- A few 10-100nm wide

Different names :

- *Nanogenerators*
- *Piezogenerators*
- *Nanocomposites*

Based on different piezo NWs:

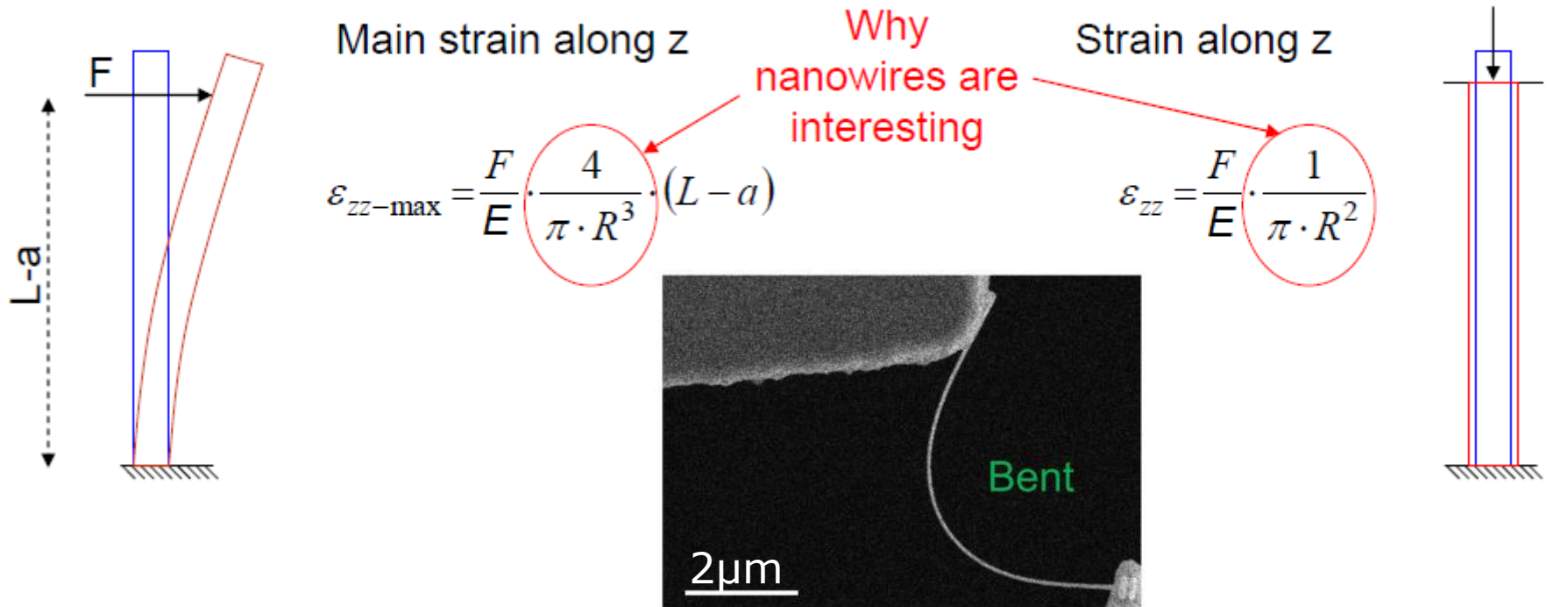
- *ZnO, GaN*
- *BaTiO₃, ZnSnO₃*
- *Lead-free Niobates: NaNbO₃...*
- *Lead based: PMN-PT, PZT*

Introduction (6/9)

Why nanowires ?

■ Rough estimates - analytical modeling

Cylindrical beam, linear, elastic model



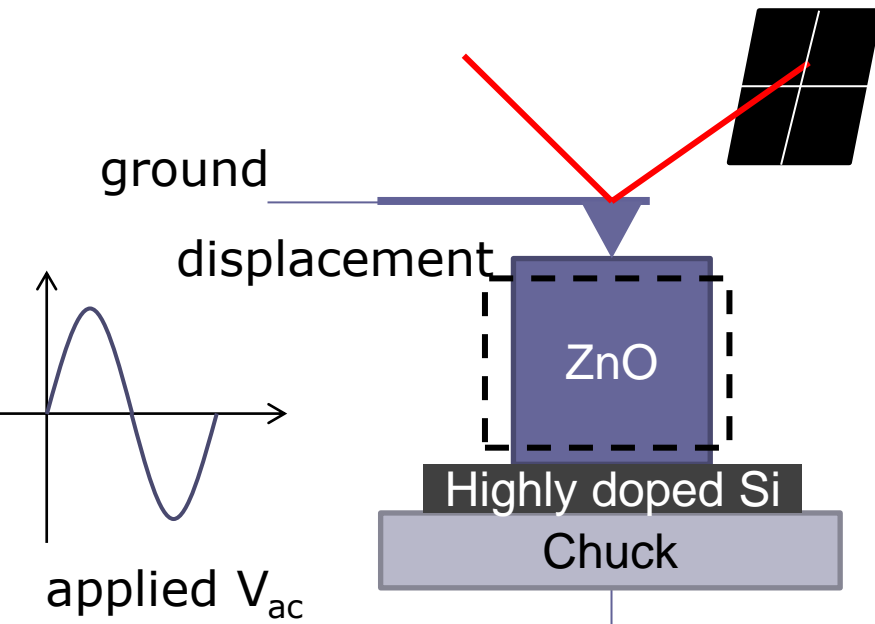
ZnO nanowires, G. Cheng et al., Nature Nanotech. , 2015

More deformation \longrightarrow More voltage or energy

Introduction (7/9)

Why nanowires ?

Experiments - Atomic Force Microscopy



AFM - Piezoelectric Force Microscopy

An AC voltage is applied between an AFM Conductive tip and the bottom electrode or substrate

By the converse piezoelectric effect the material will deform vertically.

This deformation is measured by the AFM.

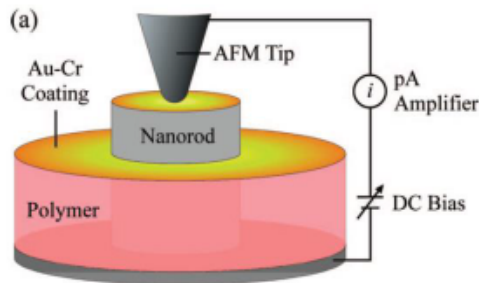
Deformation / voltage \rightarrow Piezoelectric properties

■ PFM

Introduction (8/9)

Why nanowires ?

➡ Improved piezoelectric properties (PFM) d_{33} (quantitative)



D. Scrymgeour et al.,
Nano Lett. 8, 2008

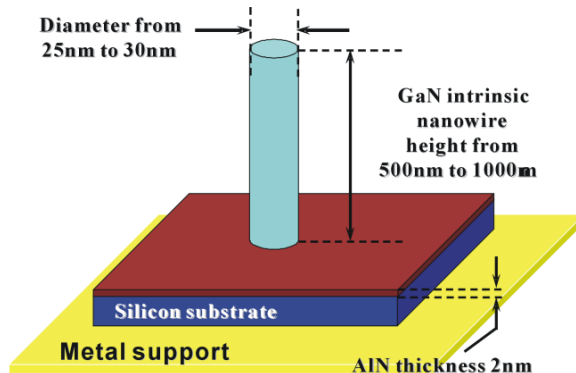
Piezoelectric coefficients
measured in m/V

ZnO NWs ~2x better than
bulk (300nm wide)

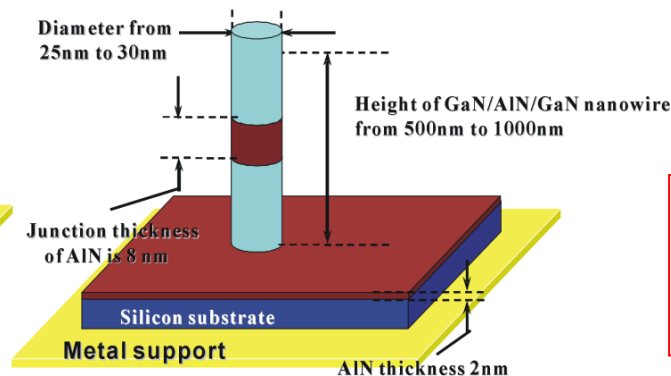
GaN NWs ~7x better than
bulk (150nm wide)

➡ Heterostructures

X. Xu, et al., Nanotechnology 22 (2011)



GaN intrinsic NW



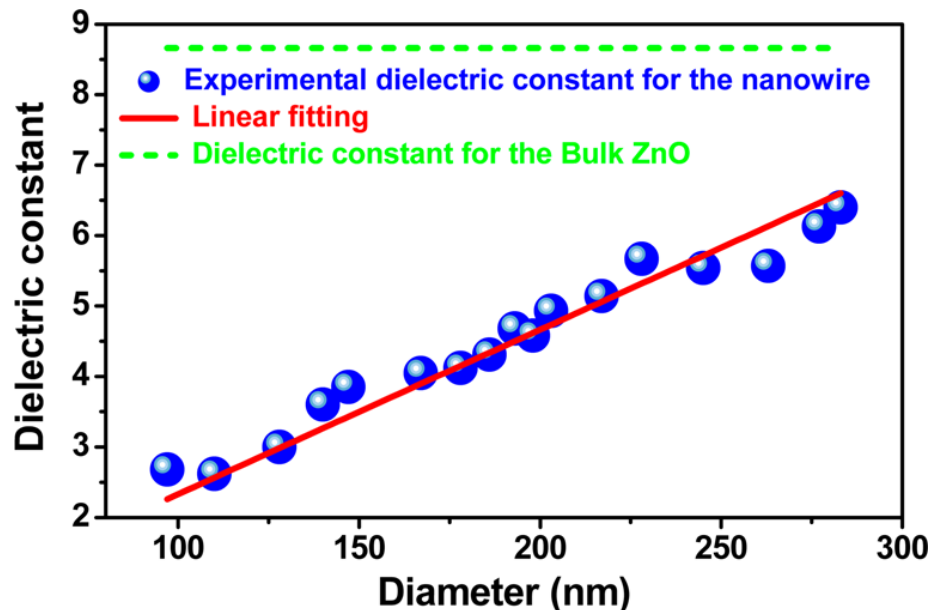
GaN/AlN/GaN (n-doped) heterostructured NW

Heterostructures provide
~9x more potential than
Intrinsic counterparts

Introduction (9/9)

Why nanowires ?

- Dielectric properties of ZnO Nanowires (Experimental)

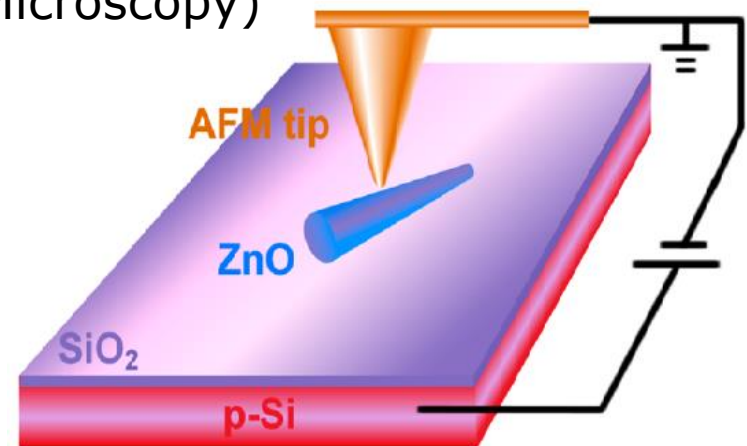


Y. Yang et al., Nanoletters 12, 2012

- Energy conversion

- ✓ Mechanical properties
- ✓ Piezoelectric properties ↗
- ✓ Dielectric properties ↘

SCM (Scanning Conductance Microscopy)



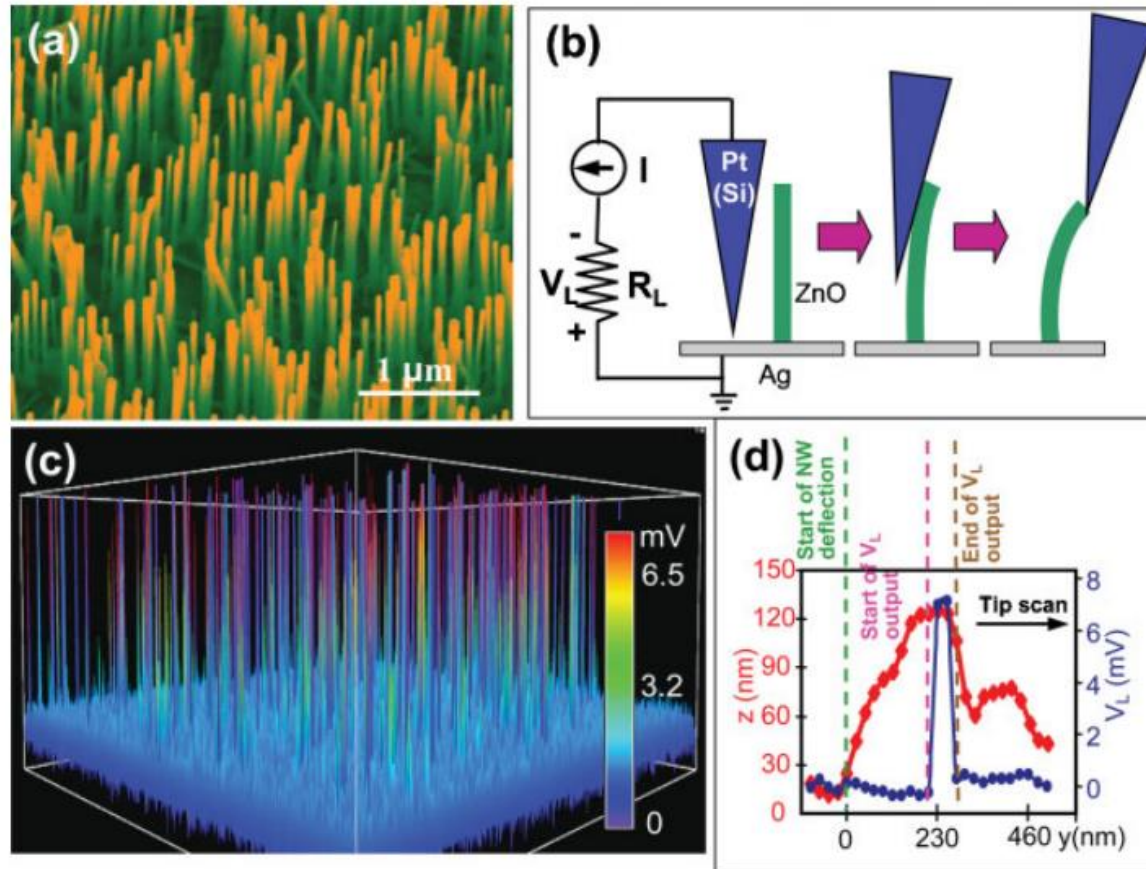
$$k^2 = \frac{E_c}{W} = E \frac{d_{33}^2}{\epsilon}$$

Nanogenerators / piezogenerators: experiments

Nanogenerators

Origin and early devices (1/3)

2006



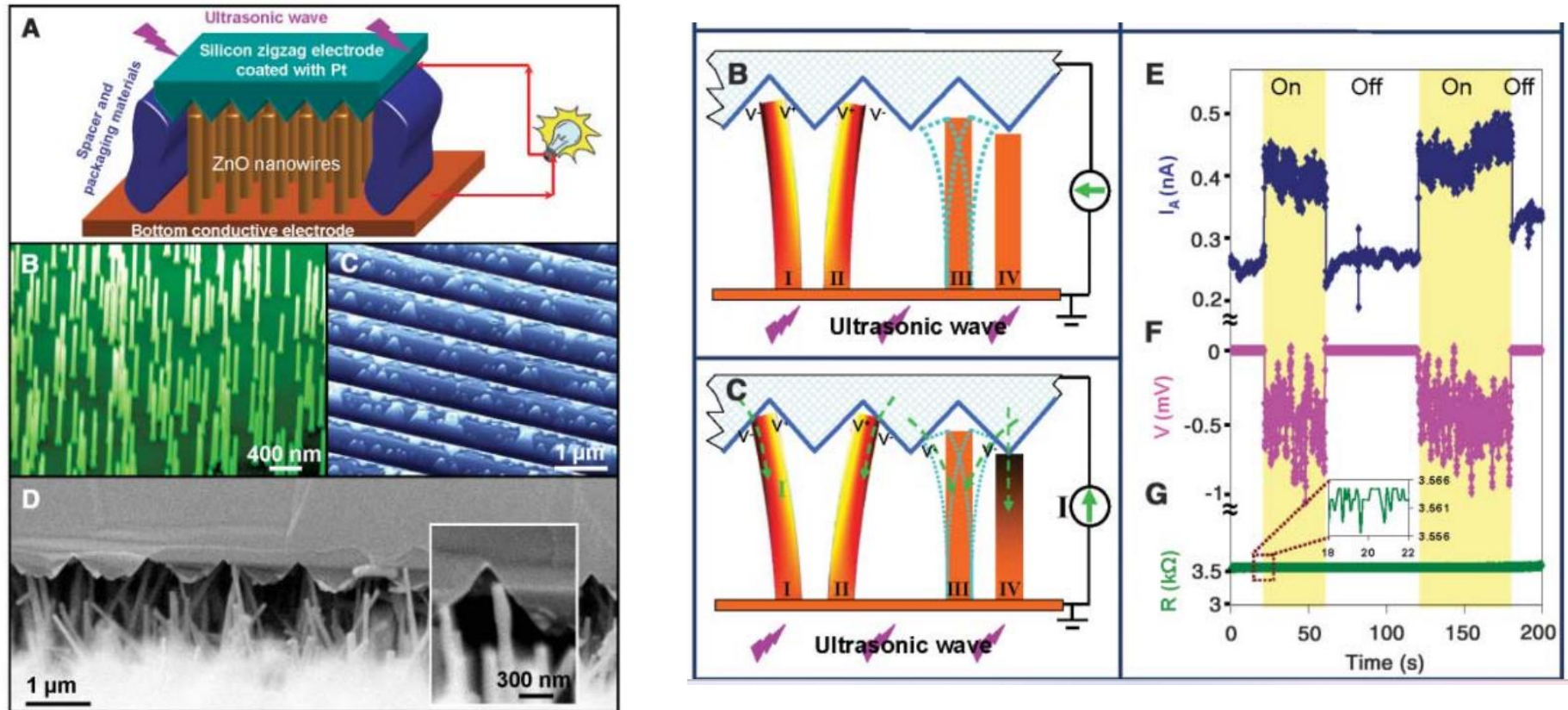
AFM experiments on ZnO NWs

Z. L. Wang, Science, 2006, GeorgiaTech, USA

Nanogenerators

Origin and early devices (2/3)

2007: First devices – based in AFM experiments and Schottky contacts

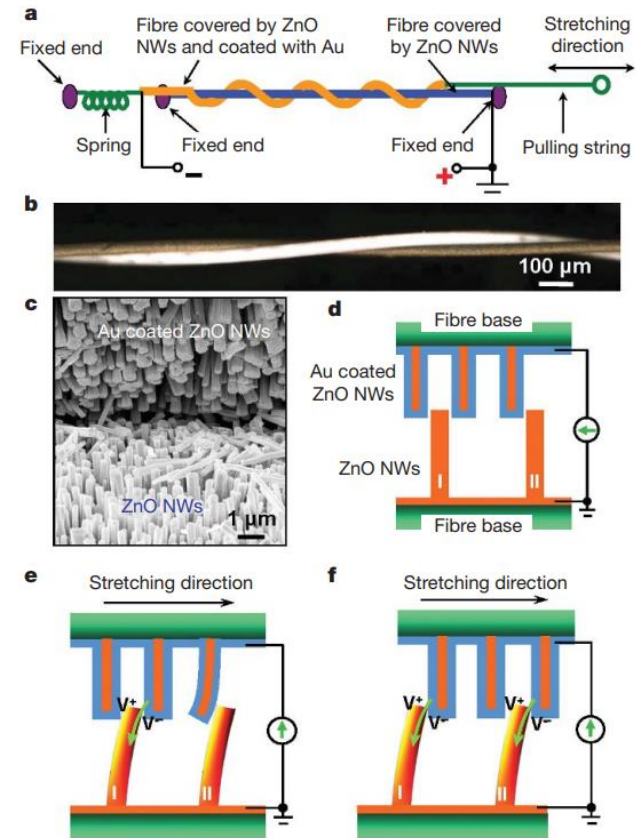
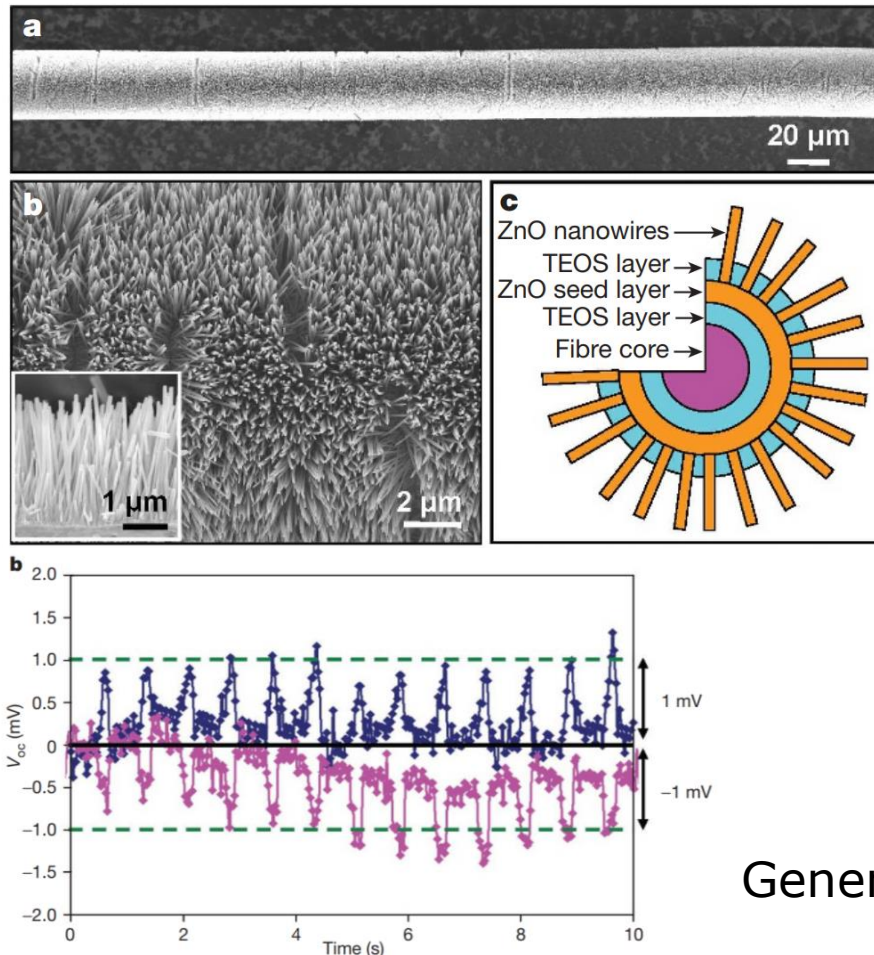


Generation of $\sim 1\text{mV}$ under ultrasonic bath

X. Wang et al., Science 316, 2007, GeorgiaTech, USA

Origin and early devices (3/3)

2008: Radially integrated ZnO NWs
50-200nm wide, 3.5 μ m long



Generation of ~ 1 mV under stretching

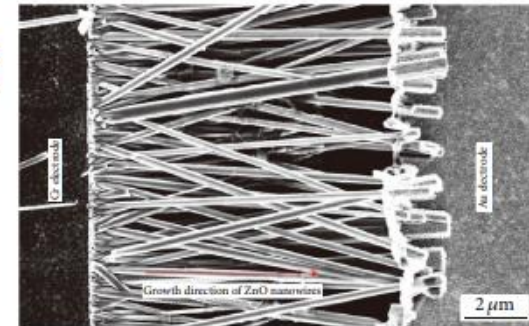
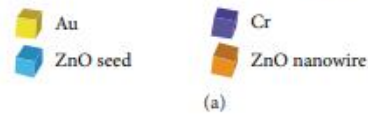
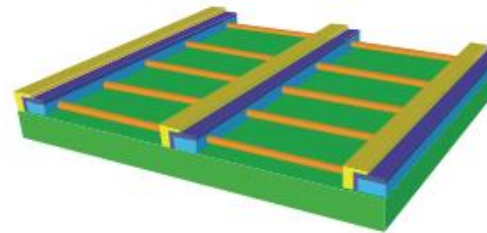
Y. Qin et al., Nature letters 451, 2008, GeorgiaTech, USA

Nanogenerators

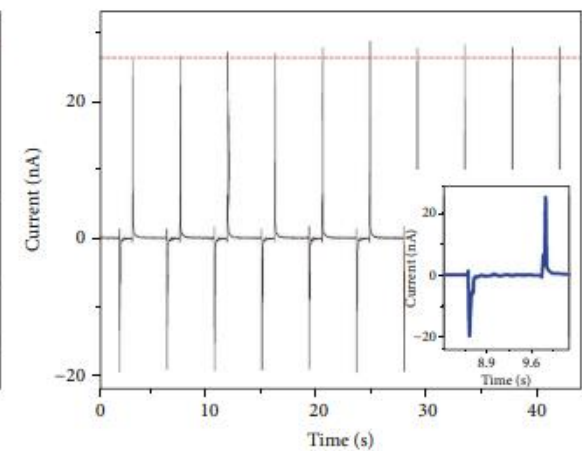
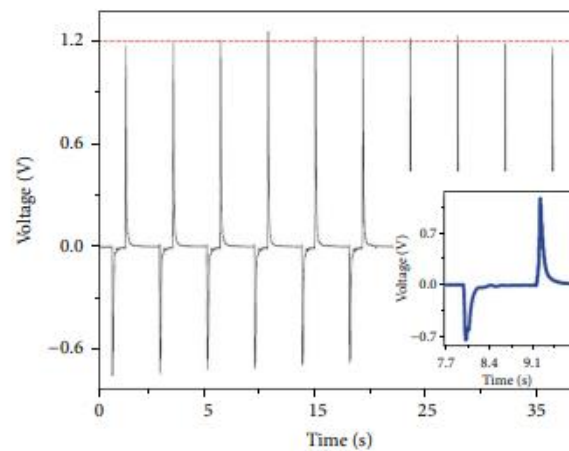
Classical structures (1/3)

2010:
Laterally integrated
Nanogenerators (LING)

~200nm wide, 5 μ m long
ZnO NWs



Generation of ~1.2V
under bending

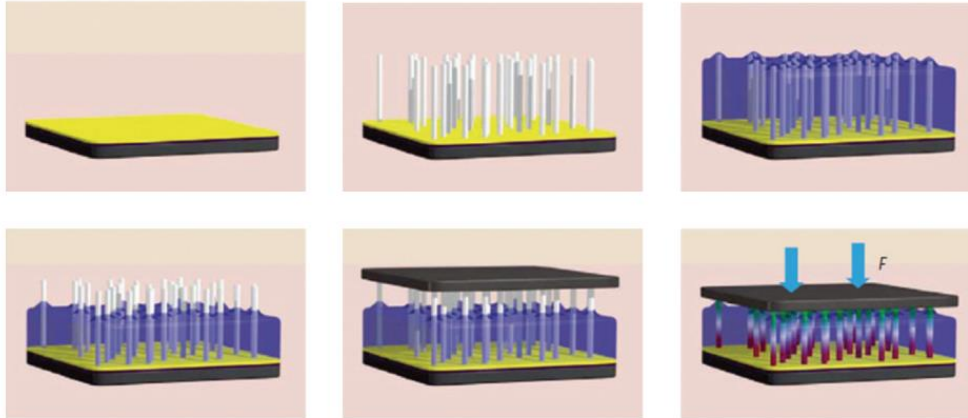


S. Xu et al., Nature Nanotechnology 5, 2010, GeorgiaTech, USA

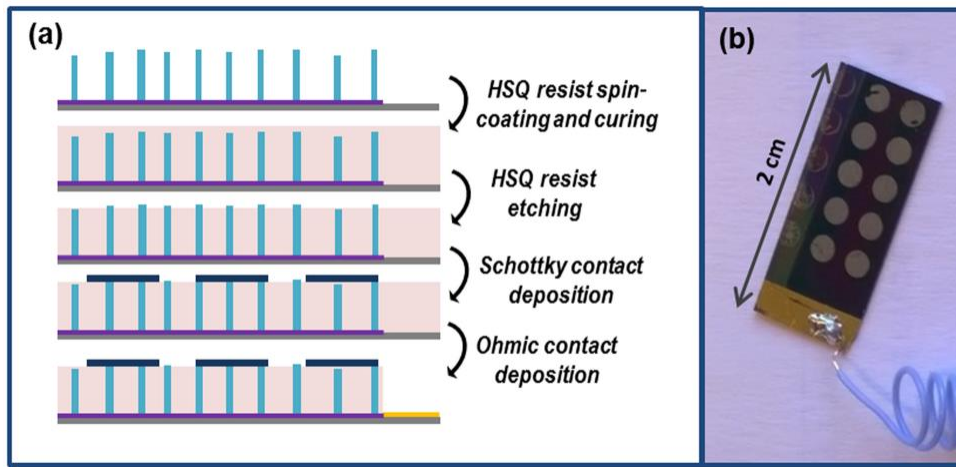
Nanogenerators

Classical structures (2/3)

2010 : Vertically Integrated Nano Generators (VING)

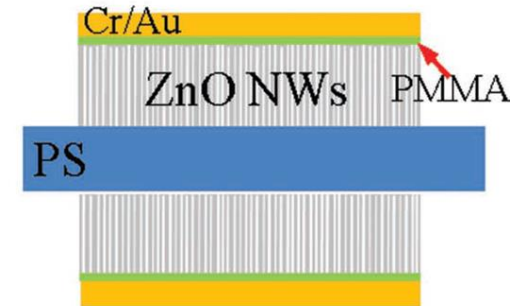


S. Xu et al., Nature Nanotechnology 5, 2010, GeorgiaTech

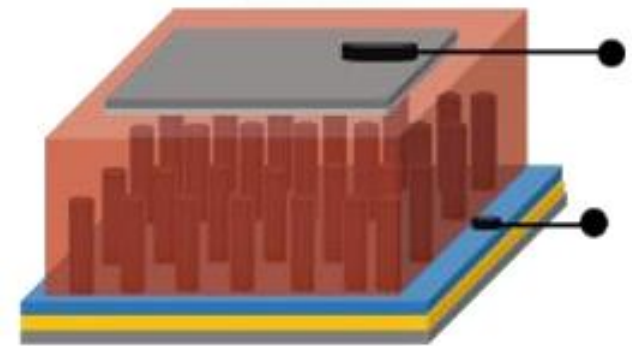


N. Jamond et al., Nanotechnology 27, 2016
C2N, Palaiseau, France, GaN NWs.

2011: Capacitive devices



Y. Yang et al., Nanoletters 12, 2012,
GeorgiaTech, USA

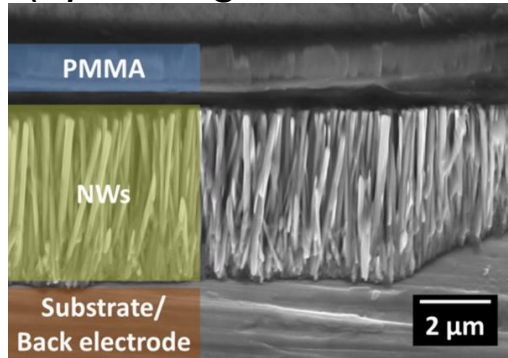


T. Slimani et al., Nanomaterials, 2021
GREMAN, Tours, France

Nanogenerators

Classical structures (3/3)

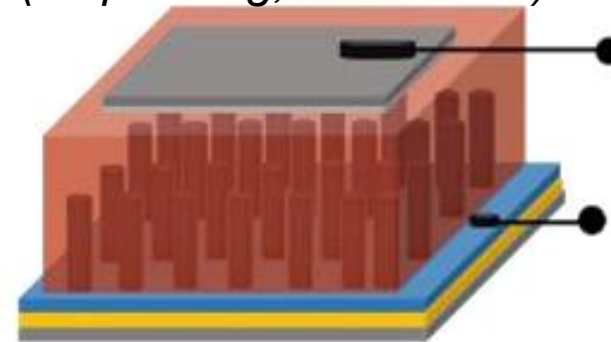
*ZnO NWs integrated into Si
(3 μ m long, 200nm wide)*



$\sim 15 \text{ nW/cm}^2$
 $\sim 0.3 \text{ V}$

R. Tao et al., Semicond. Sci. Technol., 2017
IMEP-LaHC, Grenoble, France

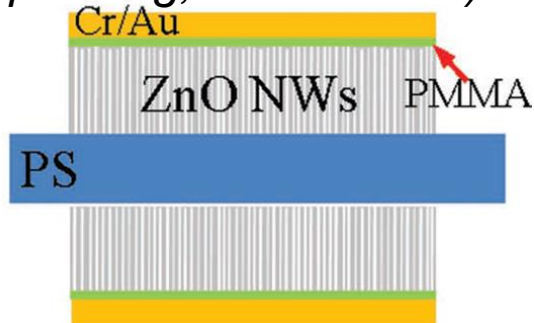
*ZnO NWs integrated on PDMS
(0.7 μ m long, 70nm wide)*



$\sim 50 \text{ nW/cm}^2$
 $\sim 7 \text{ V}$

T. Slimani et al., Nanomaterials, 2021
GREMAN, Tours, France

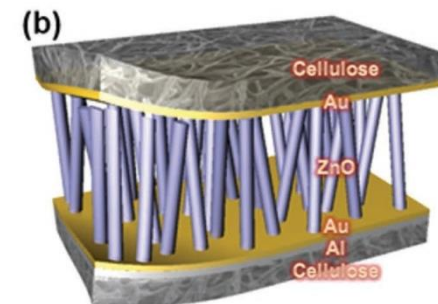
*ZnO NWs on Polystyrene
(2 μ m long, 150nm wide)*



0.2 W/cm^3
 20 V

Y. Hu et al., Adv. Mat., 2011 GeorgiaTech, USA

ZnO NWs integrated into paper



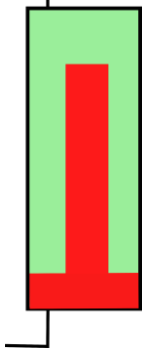
$2 \mu\text{A/cm}^2$
 75 mV

K-H. Kim et al., Small, 2011
Sungkyunkwan University, Republic of Korea

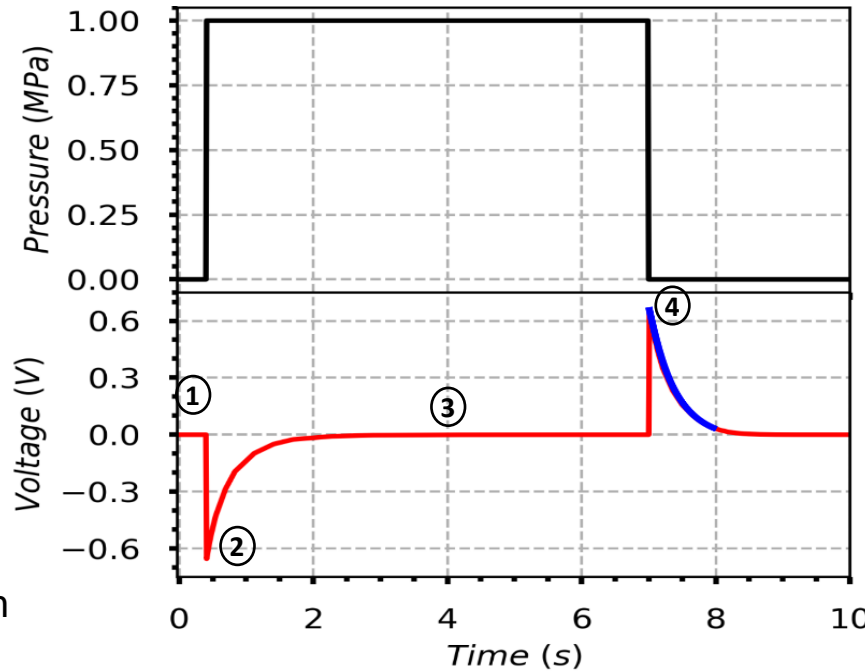
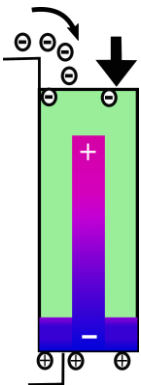
Capacitive structure operation (compression)

Transient response of mechanical system

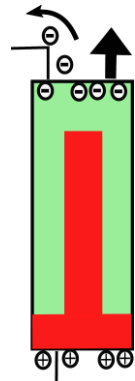
① Initial state



② Press action



④ Release action

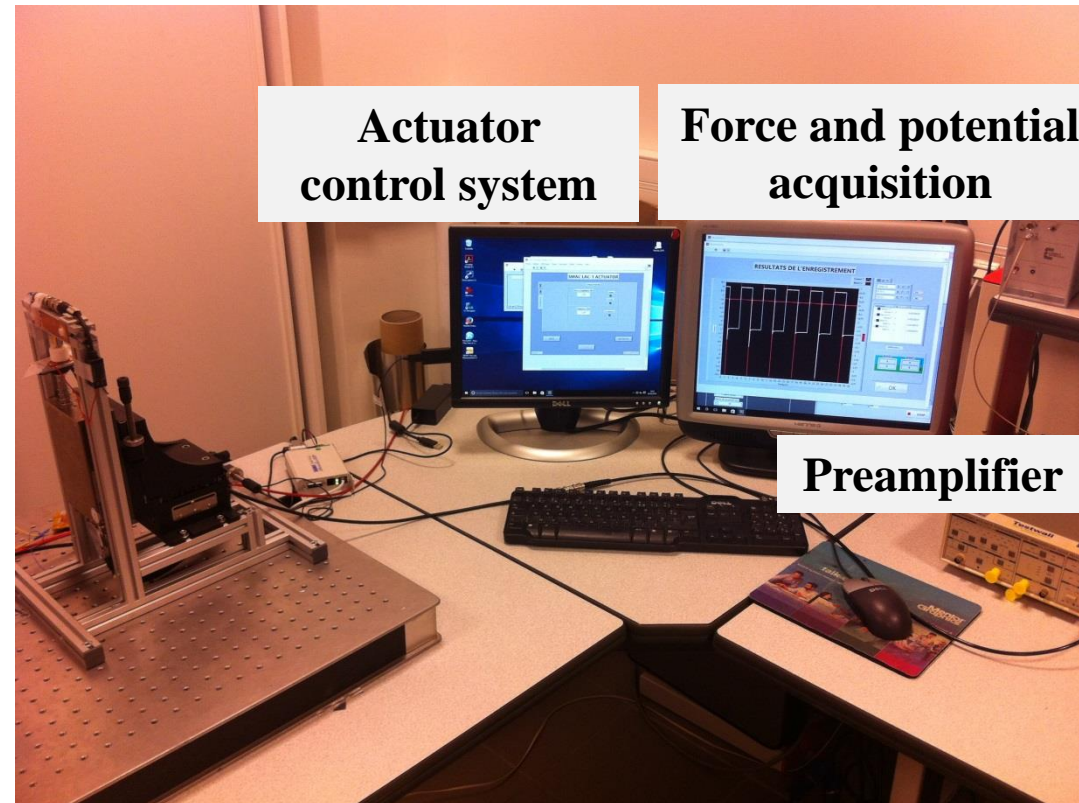
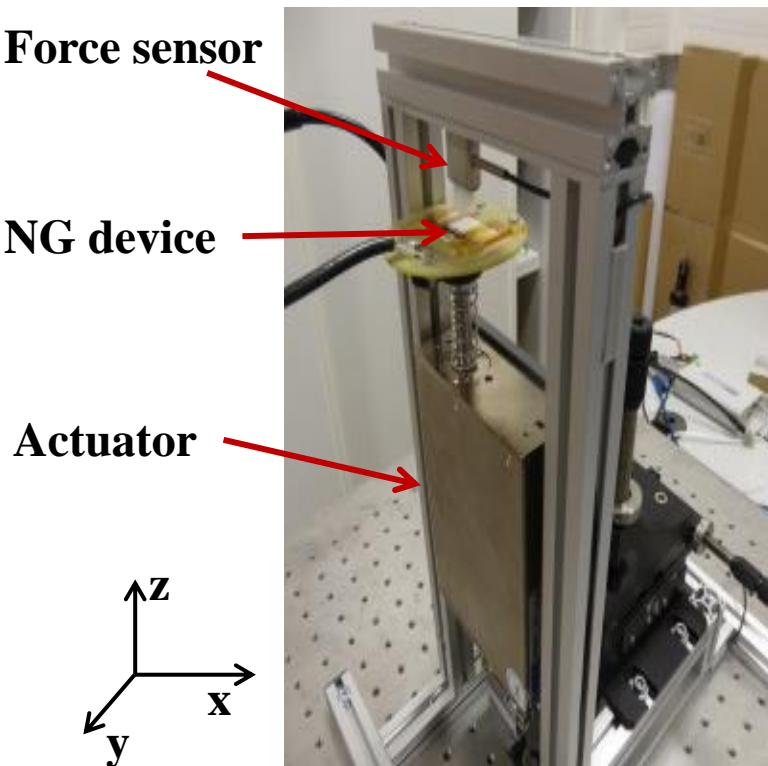


③ Holding pressure



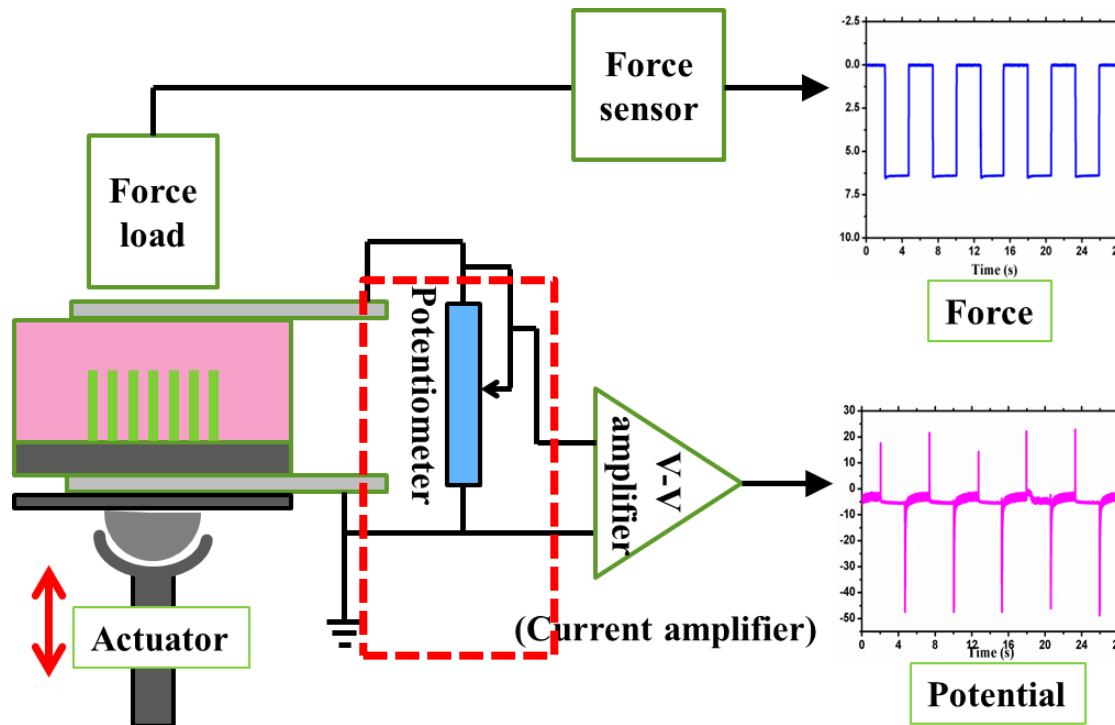
Experiments under compression (1/4)

■ Example of a home-made set-up (IMEP-LaHC)

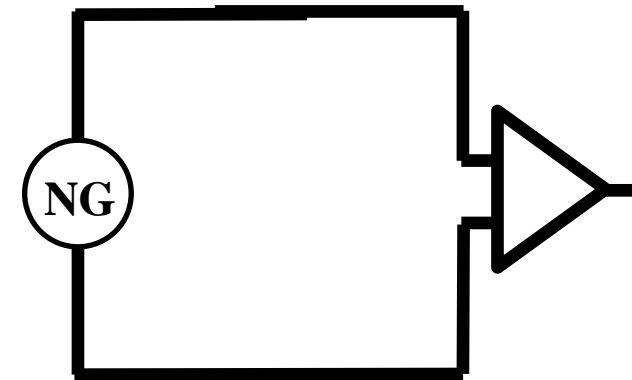


Experiments under compression (2/4)

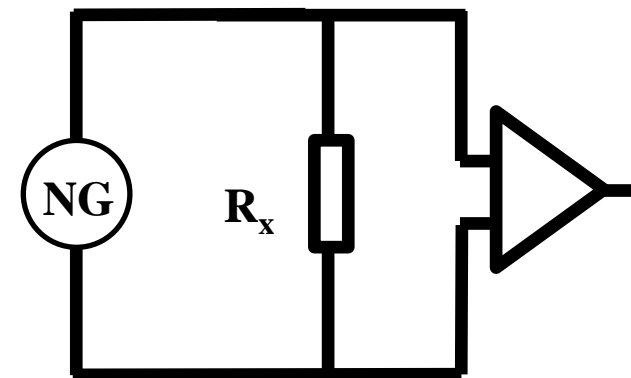
■ Schematics of set-up



Measurement without load



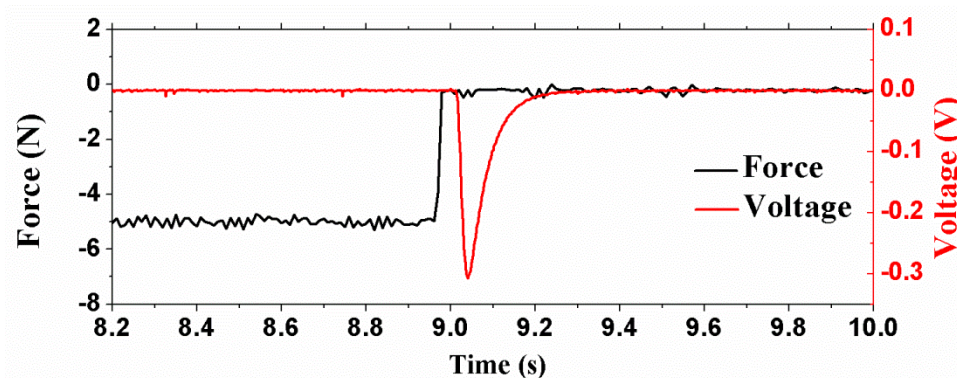
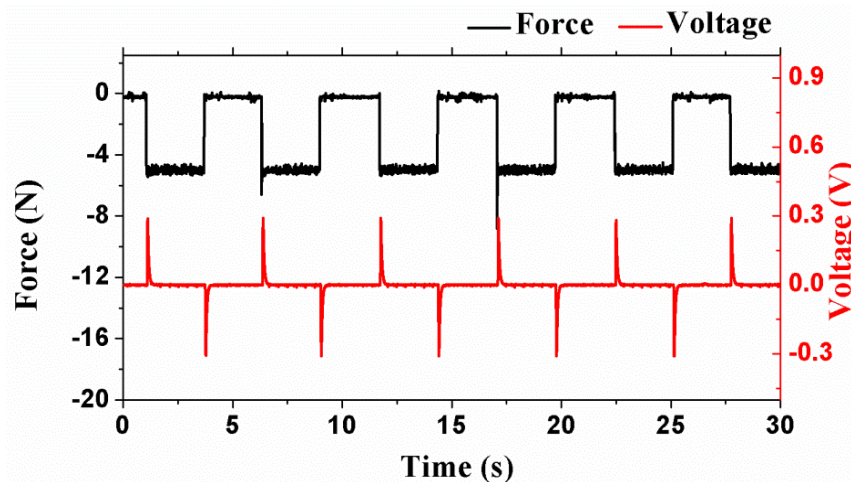
Measurement with load



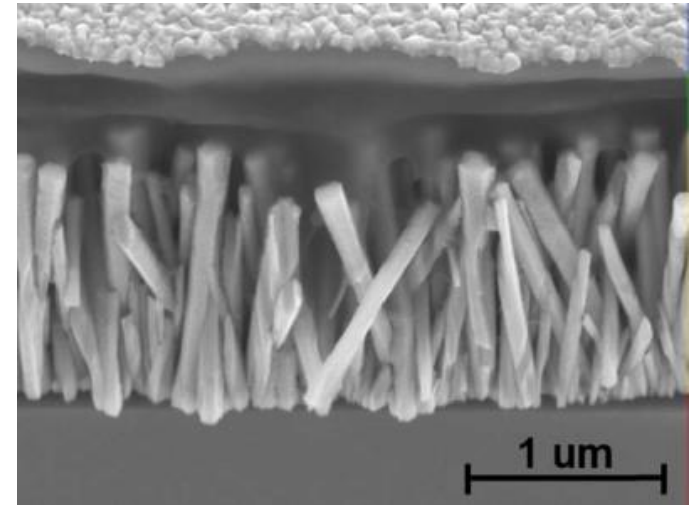
Experiments under compression (3/4)

■ VING under compression

➤ Force: 5 N Frequency: 0.2 Hz



Open circuit voltage: $\sim 0.3\text{V}$

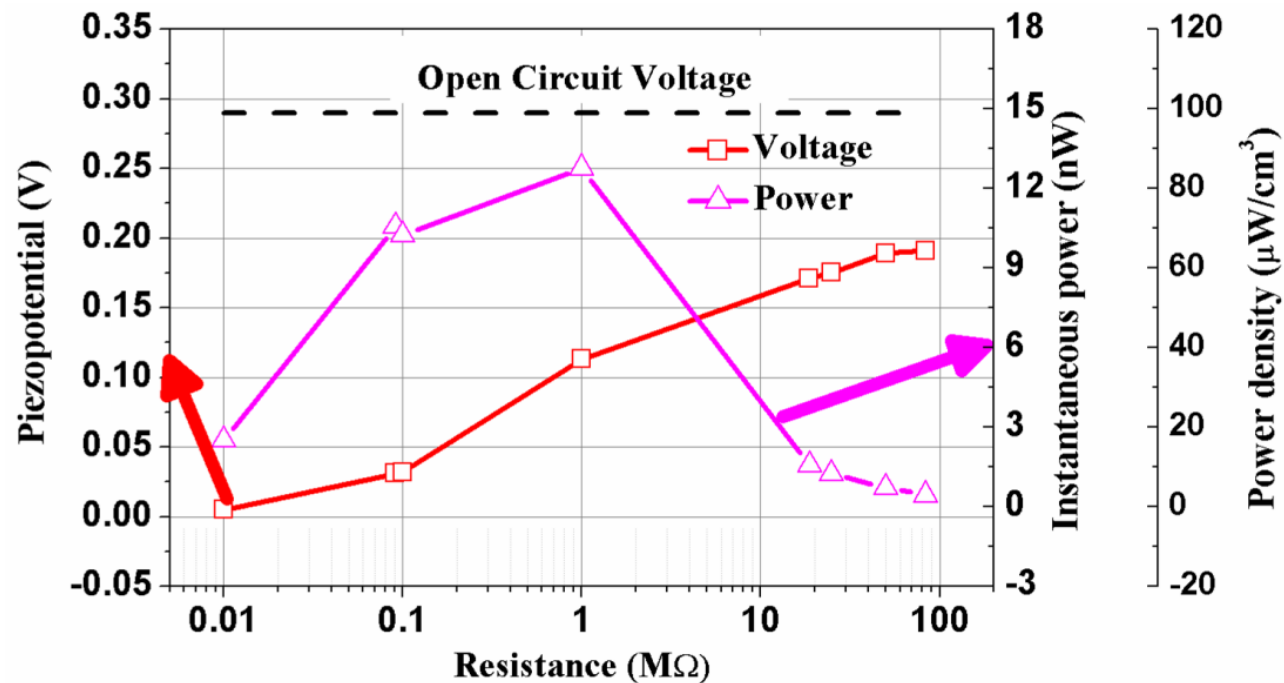


R. Tao et al., Semicond. Sci. Technol., 2017
IMEP-LaHC, Grenoble, France

Experiments under compression (4/4)

■ Power generated with electric load

➤ Force: 2 N Frequency: 0.2 Hz



$$P = \frac{V^2}{R}$$

➤ Maximum power of the NG device: 13 nW (optimum load $R=1\text{M}\Omega$)

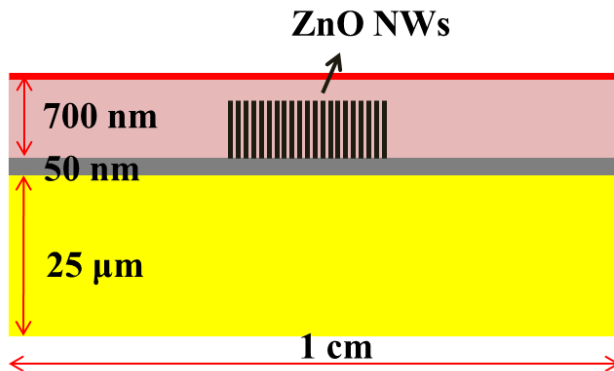
R. Tao et al., Semicond. Sci. Technol., 2017
IMEP-LaHC, Grenoble, France

Nanogenerators : Theory

Modeling of piezoelectric nanocomposites

VING: Vertical Integrated NanoGenerators

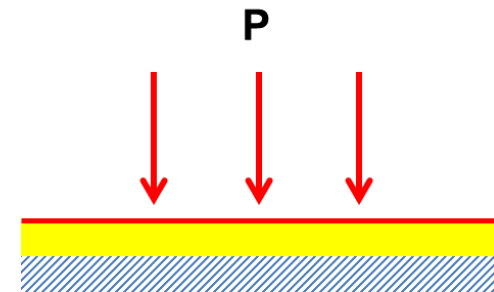
VING sandwiched by two electrodes
(composite material)



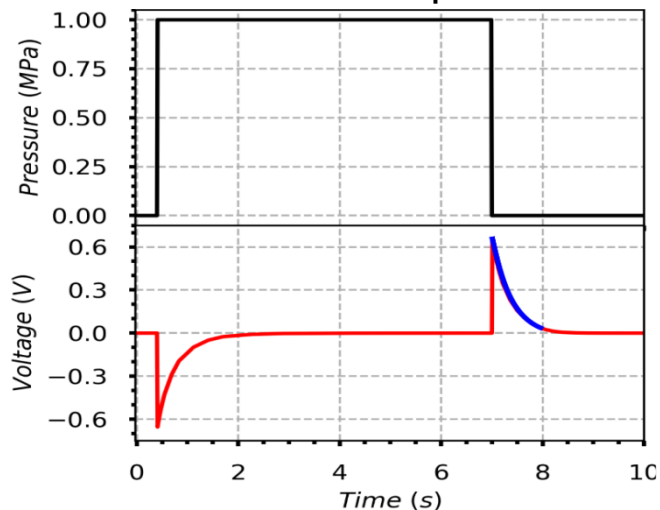
Top electrode
PMMA
ZnO seed layer

Substrate
(bottom electrode)

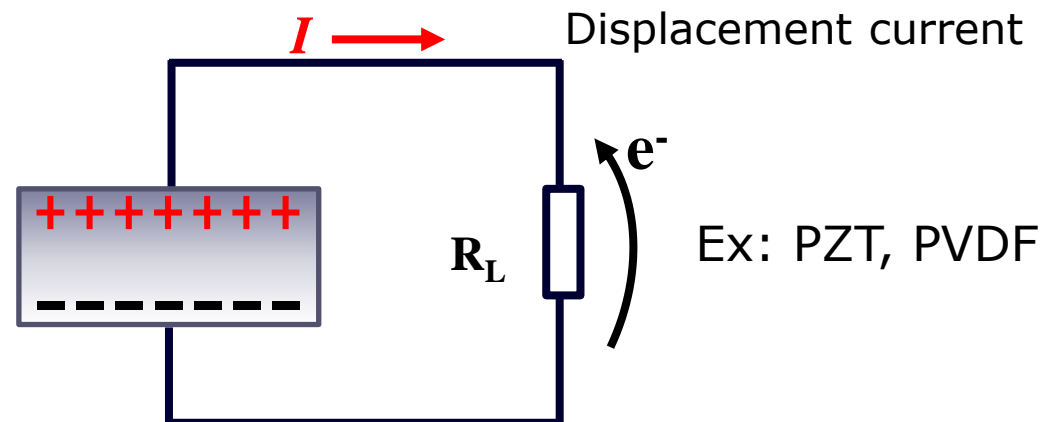
Compression



Transient response



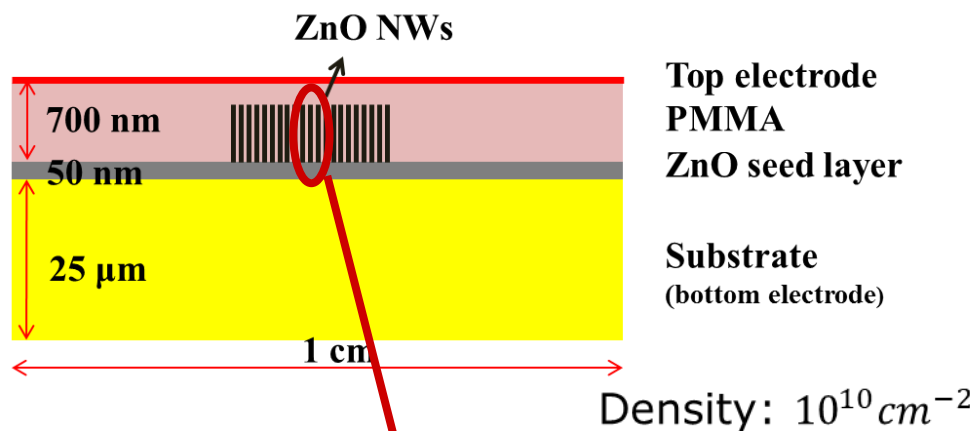
Basic models : Insulating piezoelectric material



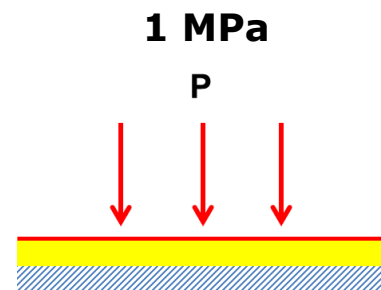
Our models : composite based on ZnO NWs

3D unit cell approach

composite sandwiched by two electrodes



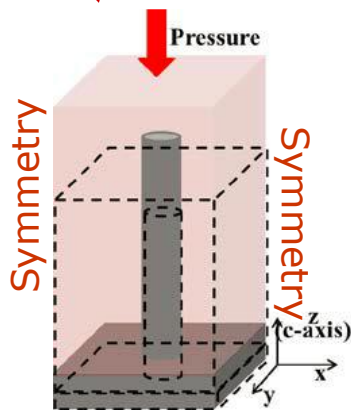
Working mode
Compression



Mechanical

Linear elasticity
Bulk properties

$d = 50 \text{ nm}$
 $L = 600 \text{ nm}$
Ratio = d/w
(density)



Fixed

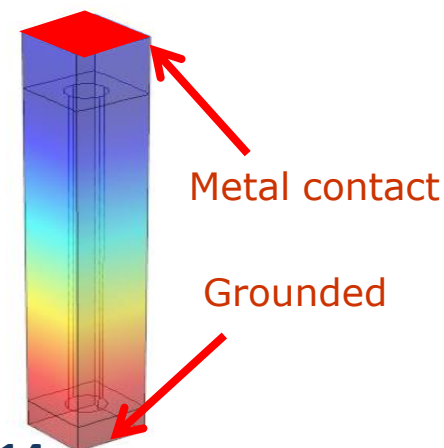
constraint

R. Hinchet et al., Adv. Funct. Mater. 2014

Electrical

Intrinsic ZnO
(low doping)

No Semi-conducting properties



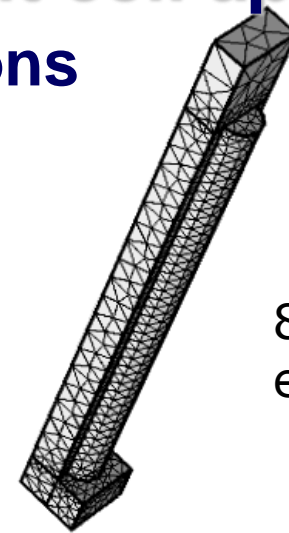
Our models : composite based on ZnO NWs

3D unit cell approach

■ NG cell structure and boundary conditions

Hypothesis :

- Linear regime (mechanical, piezoelectric)
- Bulk properties
- No semiconducting properties (dielectric material)



8k
elements

■ Equations to be solved

Piezoelectric equations :

$$\begin{aligned} [\sigma] &= [c][\varepsilon] - [e]^T [E] \\ [D] &= [\kappa][E] + [e][\varepsilon] \end{aligned}$$

Standard terms

Coupling terms

$[c]$: elasticity matrix

$[e]$: piezoelectric coefficient

$[\kappa]$: dielectric constant

Poisson equations :

$$\begin{aligned} -\nabla \sigma &= 0 \\ \nabla D &= 0 \end{aligned}$$

$[\sigma]$: stress

$[\varepsilon]$: strain

$[E]$: electric field

$[D]$: electric displacement



Modeling of piezoelectric nanocomposites

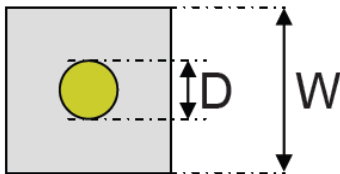
IMEP-LAHC

Parametric study (3/4)

■ Parameters varied:

NWs density

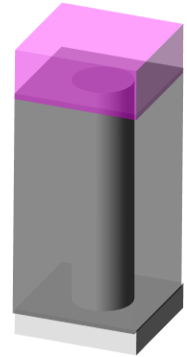
D/W (D=50nm, W varies)



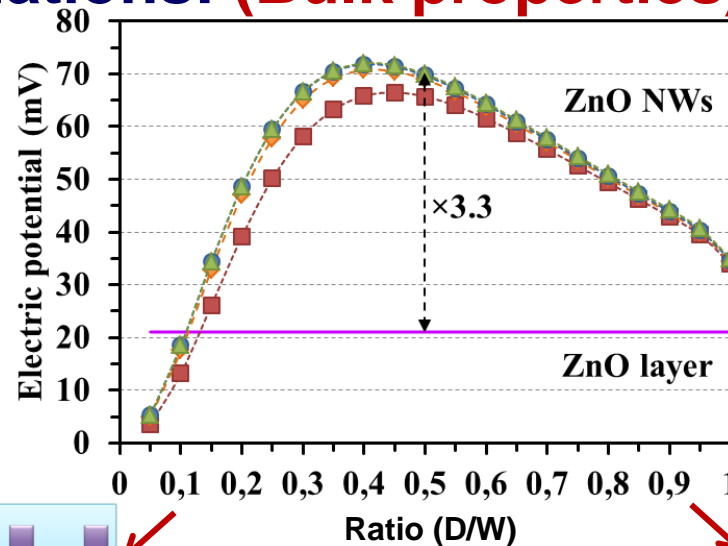
Top insulating materials



Material	PMMA	SiO ₂	Si ₃ N ₄	Al ₂ O ₃
Young modulus (GPa)	3	70	250	400
Dielectric constant	3.9	4.2	9.7	5.7



■ Potential variations: (Bulk properties)



R. Hinchet et al.,
Adv. Funct. Mater. 2013

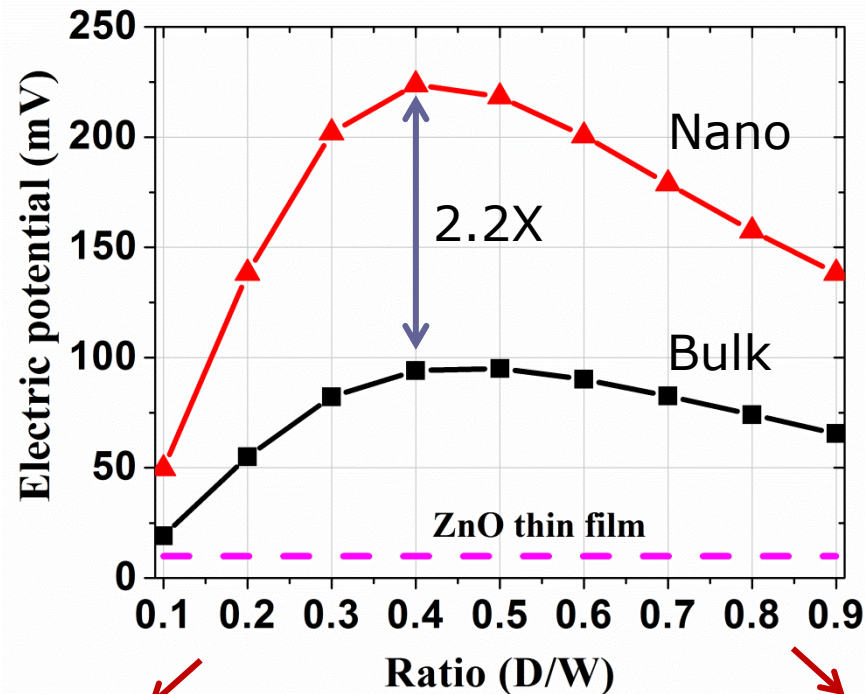




Modeling of piezoelectric nanocomposites

Parametric study (3/4)

■ Potential variations and energy: (nano properties)



Nano properties:

Piezo: $\times 2$

Dielectric: $/5$

R. Tao et al., Future Trends on Microelectronics 2016

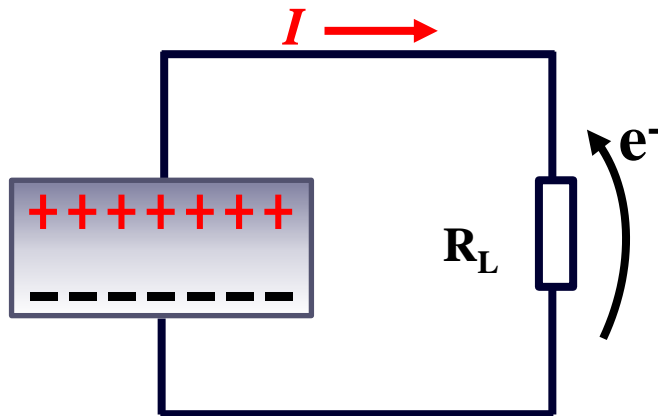


IMEP-LAHC

Screening effects

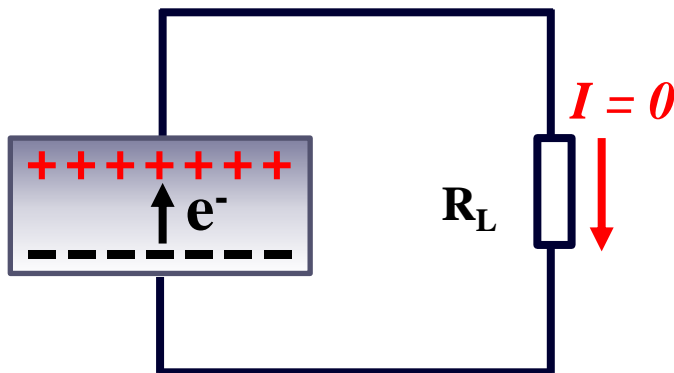
ZnO as semiconductor: rarely intrinsic (very low doping)

Insulating piezoelectric material



With free carriers

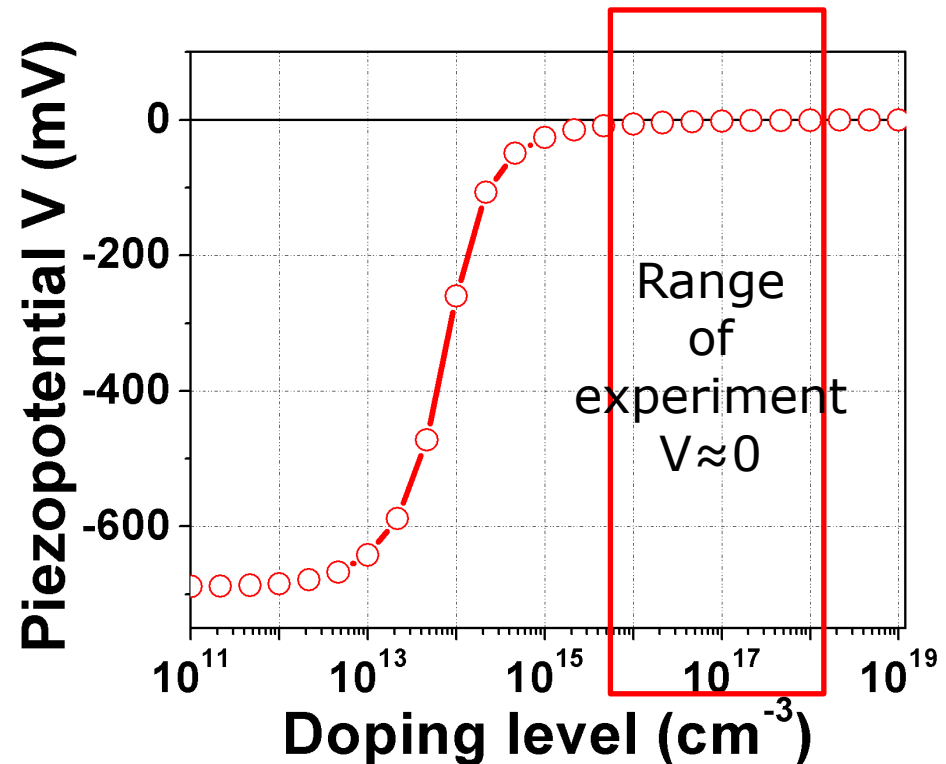
Semiconductor (GaN, ZnO...)



- Unintentionally doped in the growth ($\sim 10^{17} \text{ cm}^{-3}$)

$$\nabla D = -\rho$$

$$\rho = q(n - p + N_A^- - N_D^+)$$

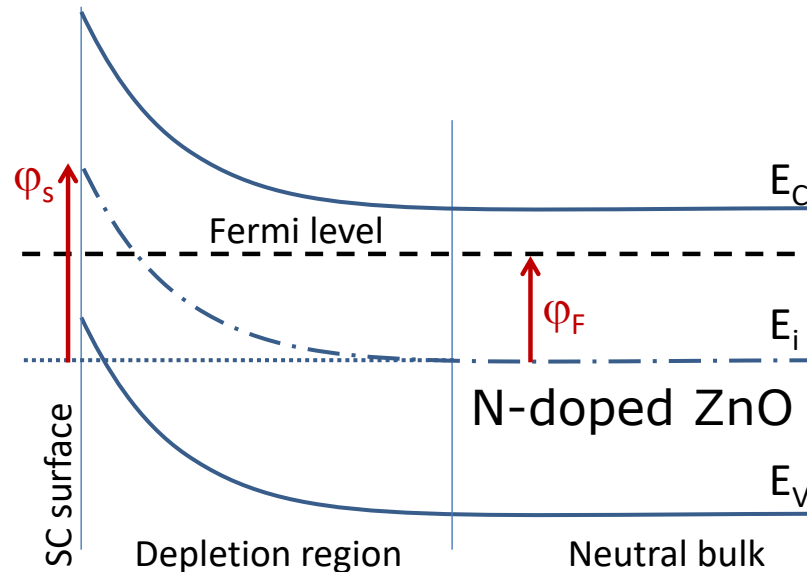


- Close to **0V** at doping **10^{15} cm^{-3}**

Origin of Surface Traps and their consequences

Surface Traps : In ZnO, presence of O_2 molecules at the surface (capture free electrons), surrounding material...

SFLP:
Surface Fermi Level Pinning



➤ **Hypothesis** : $N_{it} \sim 5 \times 10^{11} \text{ cm}^{-2} \text{ eV}^{-1}$ (medium range)

$$Q_{dep} = \sqrt{2 q \varepsilon N_D \phi_s} \longrightarrow Q_{dep} + Q_s = 0$$

Boundary condition :

$$\vec{n} \cdot \kappa \vec{\nabla} V = Q_s$$

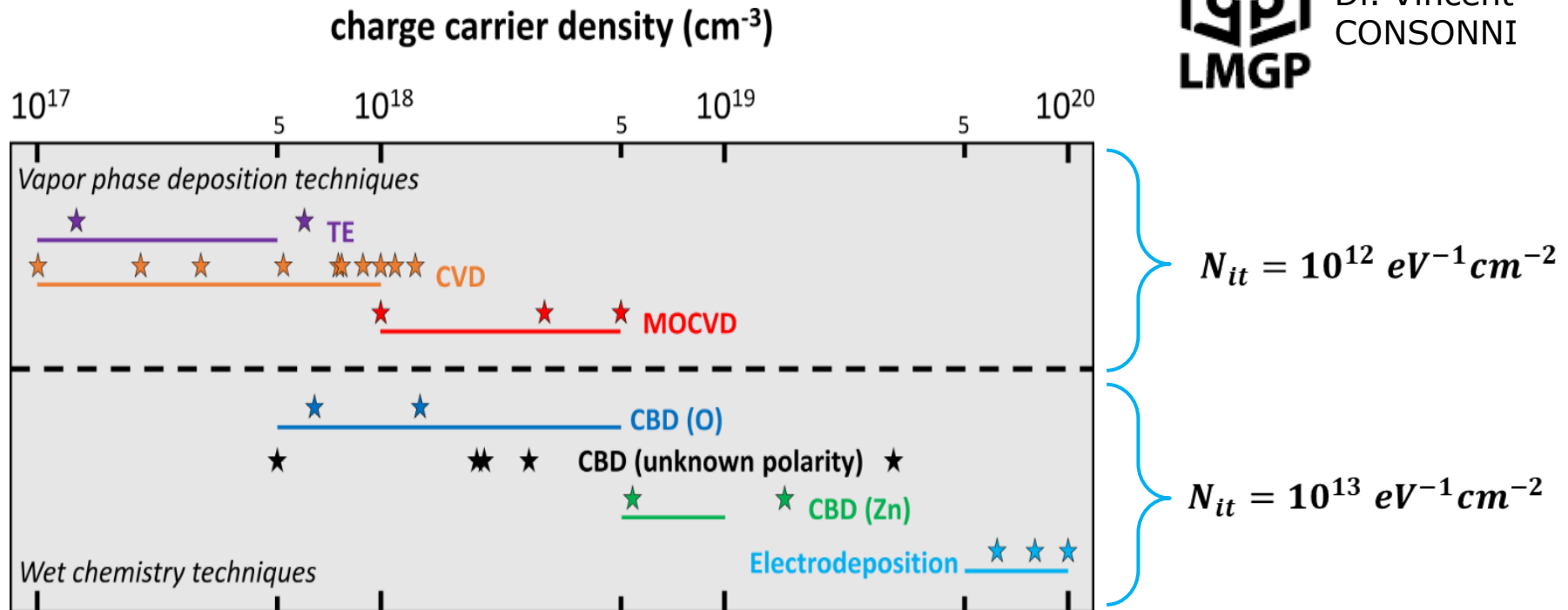


Influence of Surface Fermi Level Pinning (1/3)

Semiconducting parameters from experiments



Dr. Vincent
CONSONNI



- Different growth techniques lead to different level of doping and surface states

A. Lopez et al., Nanomaterials 2021



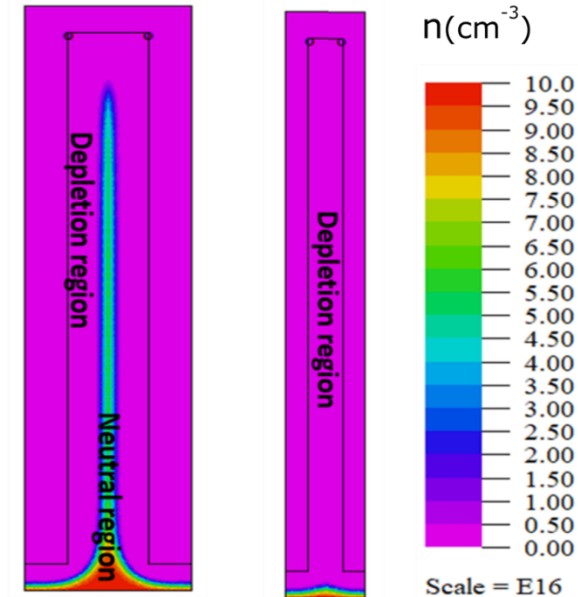
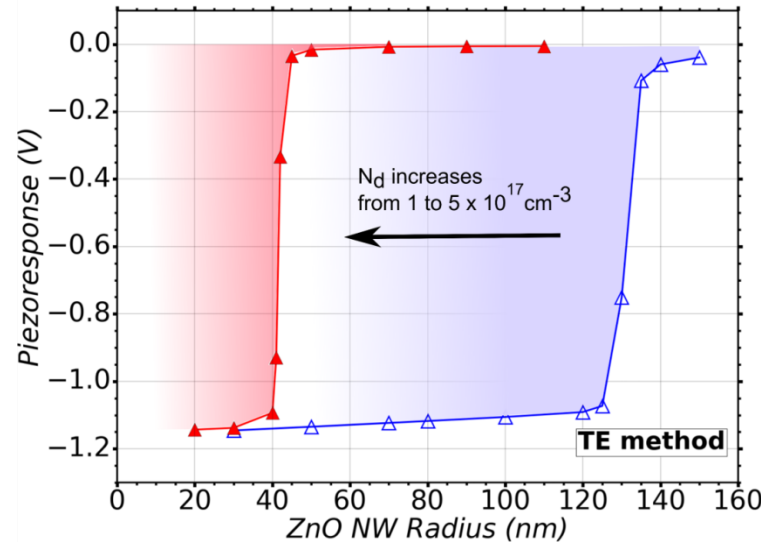
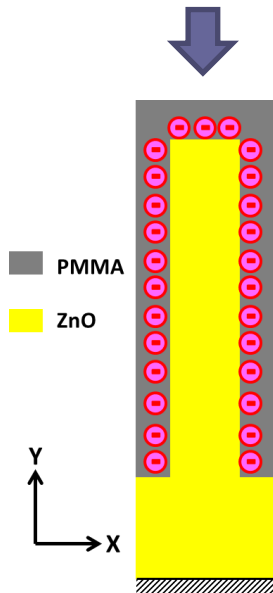
IMEP-LAHC

Influence of Surface Fermi Level Pinning (2/3)

Effect of NW growth method: radius, doping, surface traps

$P = 1 \text{ MPa}$ $L = 5 \mu\text{m}$

Compression



Thermal Evaporation (TE):

- Doping (N_D):
Range $10^{17} - 5 \times 10^{17} \text{ cm}^{-3}$
- Surface trap density (N_{it}):
About $10^{12} \text{ eV}^{-1} \text{ cm}^{-2}$

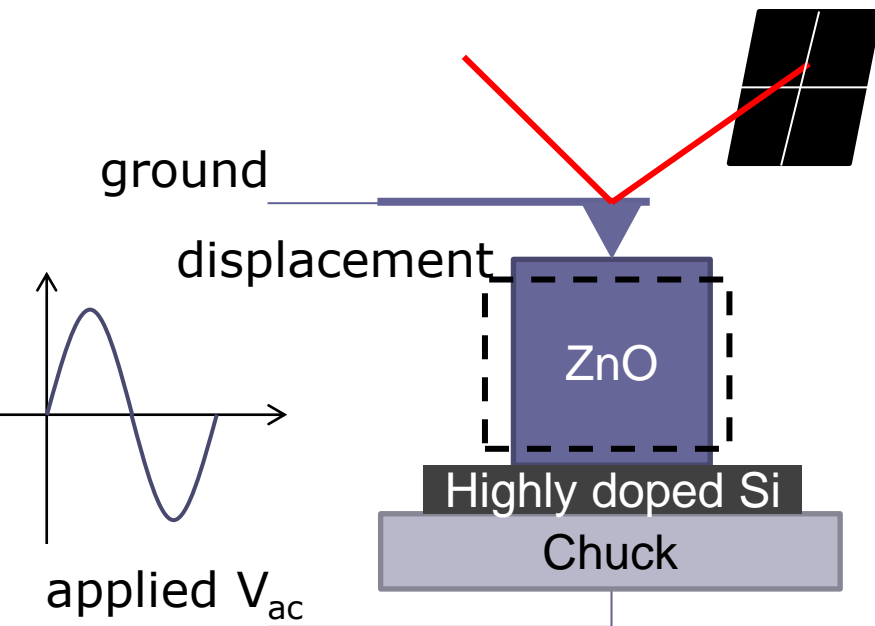
- Existence of a critical radius leading to fully depletion (optimal performance)
- Dependence on doping and surface trap density
- Guidelines for performance optimization

A. Lopez et al., Nanomaterials 2021

Individual NWs: Theory and experiments using AFM

AFM - Piezoelectric Force Microscopy

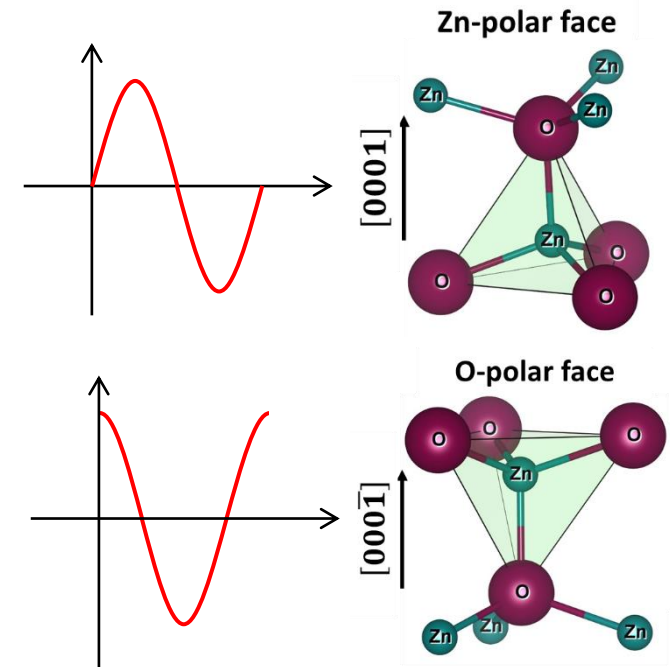
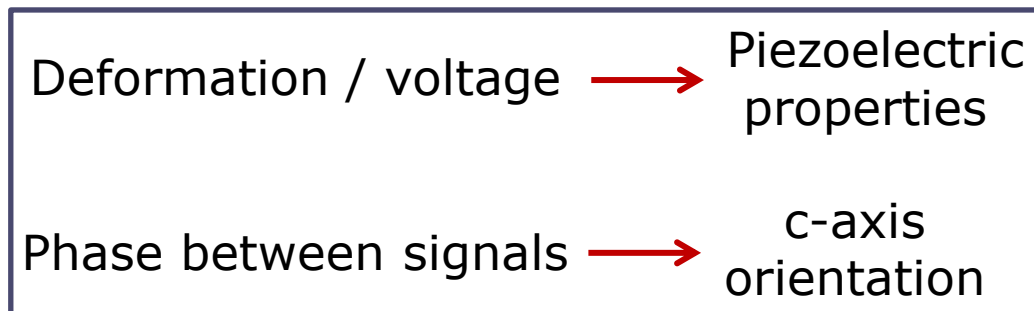
ZnO NWs vs thin film



An AC voltage is applied between an AFM Conductive tip and the bottom electrode or substrate

By the converse piezoelectric effect the material will deform vertically.

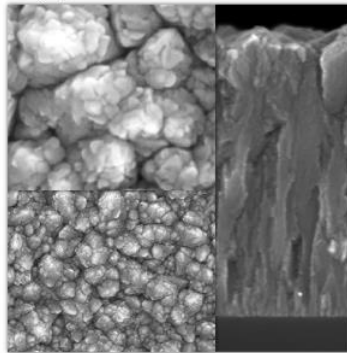
This deformation is measured by the AFM.



AFM - Piezoelectric Force Microscopy

ZnO NWs vs thin film

Thin films (MOCVD)



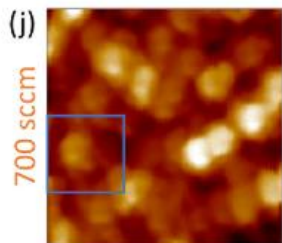
900nm thick

Conditions:

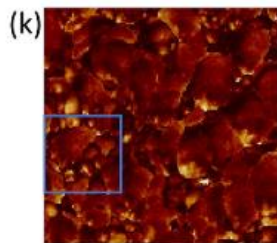
O₂ 700sccm

DEZn 0.5g/min

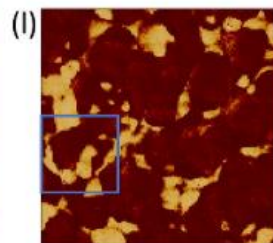
T = 500°C



Topography



Amplitude



Phase

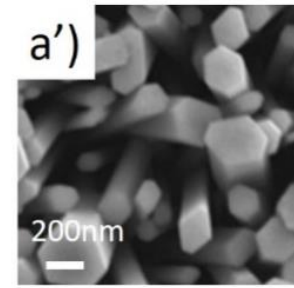
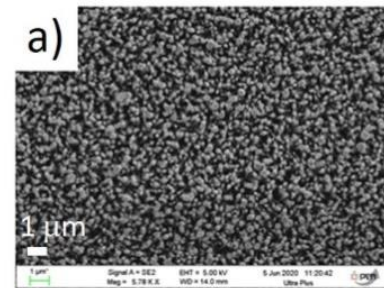
$$d_{33}^{eff} \sim 1.6 \text{ pm/V}$$

Zn and O
polarity

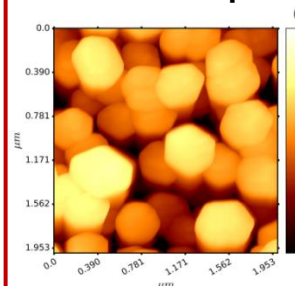
Q. Ch. Bui et al., Mater. Adv. 2021

Overall, better performance from
NWs vs. Thin films

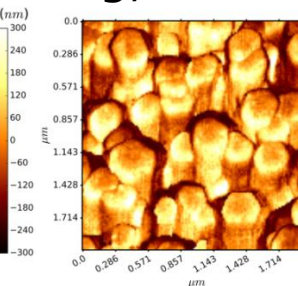
ZnO NWs (CBD and MOCVD)



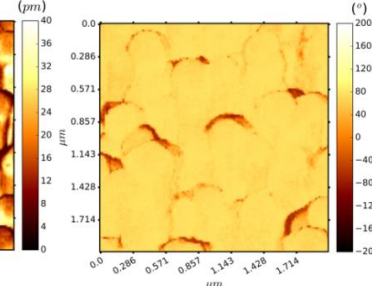
3μm long, 200nm wide



Topography



Amplitude



Phase

$$d_{33}^{eff} \sim 4.6 \text{ pm/V}$$

Zn polarity

A. Lopez et al., Nanomaterials 2021

$$d_{33}^{eff} \sim 4.4 \text{ pm/V and Zn polarity}$$

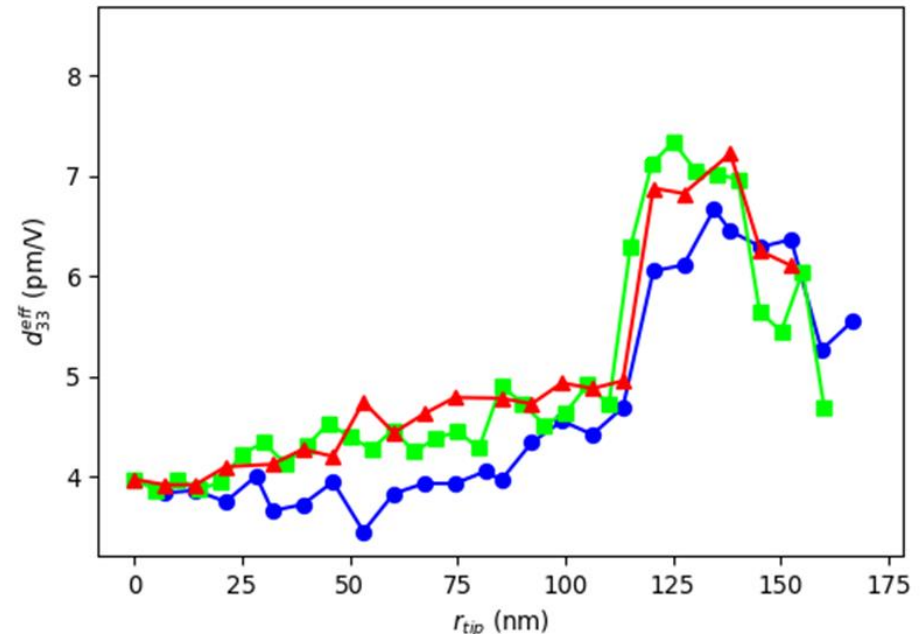
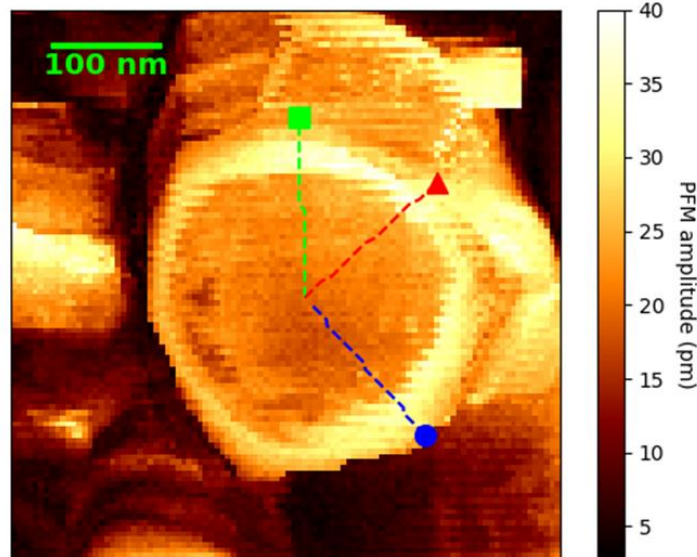
1μm long, 60nm wide NW (MOCVD)

Q. Ch. Bui et al., Appl. Mater. Interfaces 2020

AFM - Piezoelectric Force Microscopy

Piezoresponse distribution at the NW surface

ZnO NWs (CBD)

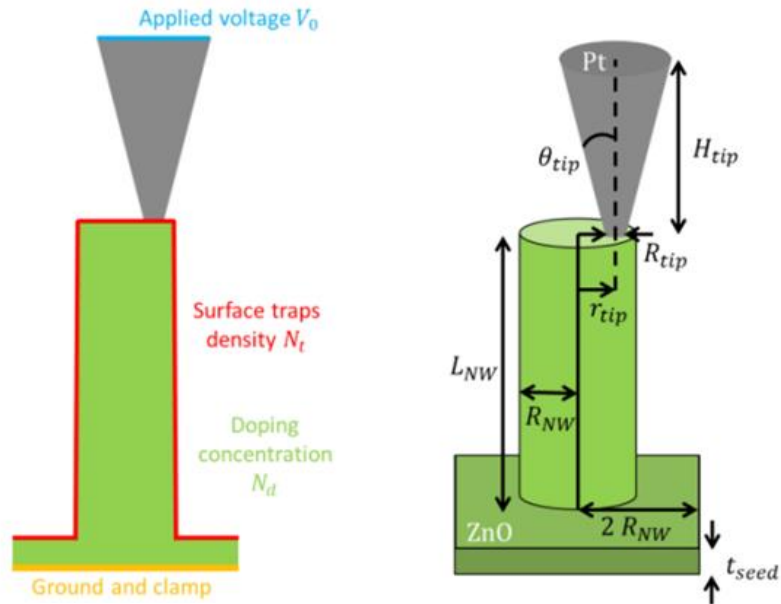


- Piezoresponse increases as the AFM tip approaches the NW border
- Can this effect be explained by simulations?

T. Jalabert et al., Smart Materials for Opto-Electronic Applications, SPIE 2023



AFM - Piezoelectric Force Microscopy piezoresponse distribution at the NW surface

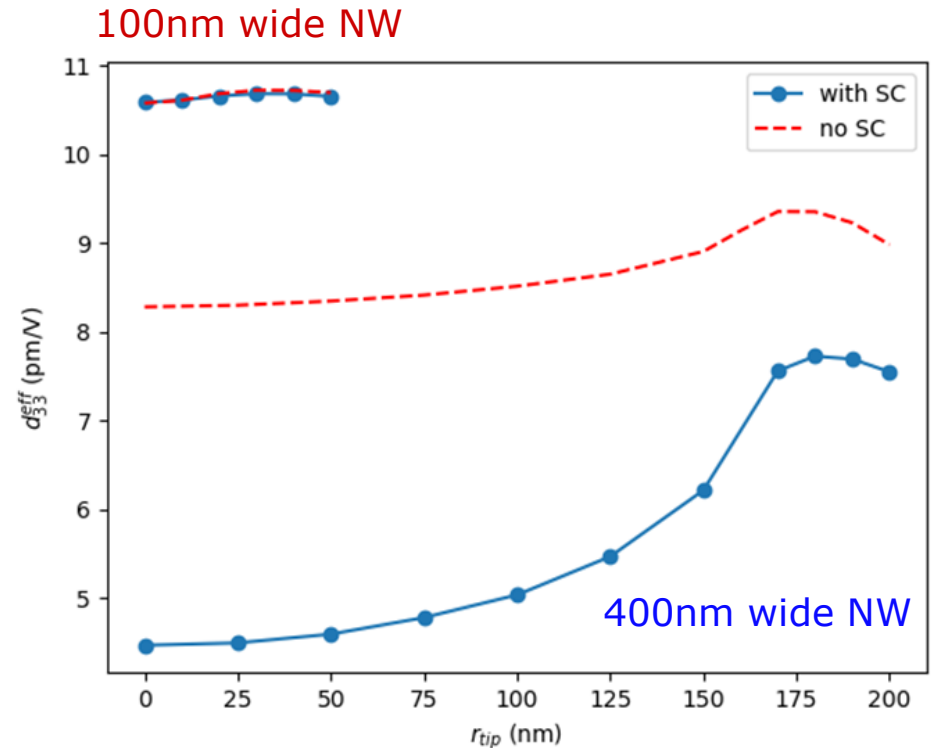


Simulation parameters (CBD):

$$N_{it} = 10^{13} \text{ eV}^{-1} \cdot \text{cm}^{-2}$$

$$N_d = 10^{17} \text{ cm}^{-3}$$

- 3D models including semiconducting properties
- Improvement compared to previous 2D models
- Piezoreponse explained by semiconducting properties (surface traps)

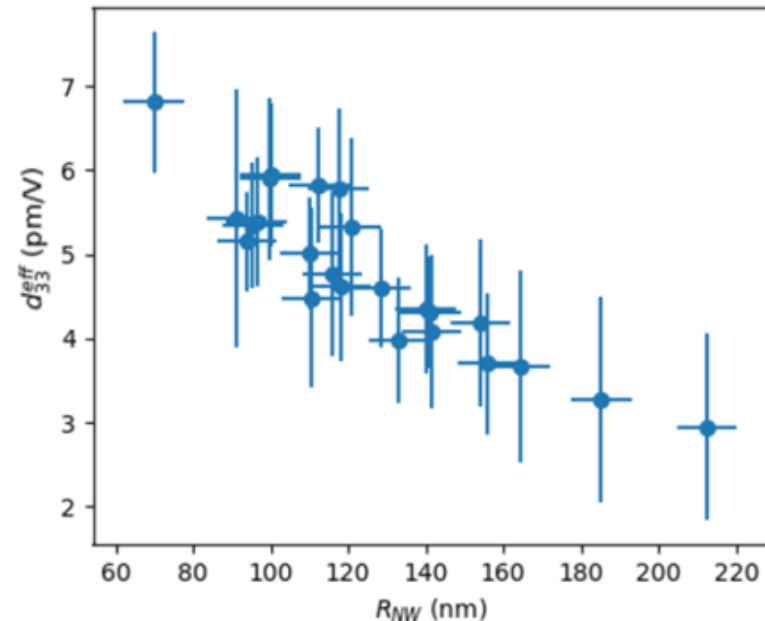
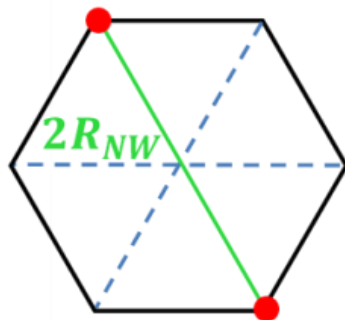
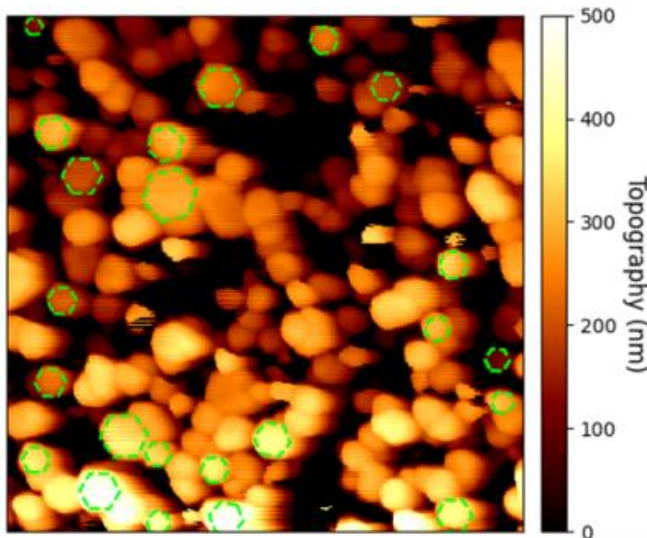


T. Jalabert et al., SPIE 2023

AFM - Piezoelectric Force Microscopy

Effect of ZnO NWs radius Experiments

ZnO NWs (CBD)



➤ Clear trend in function of the NW radius

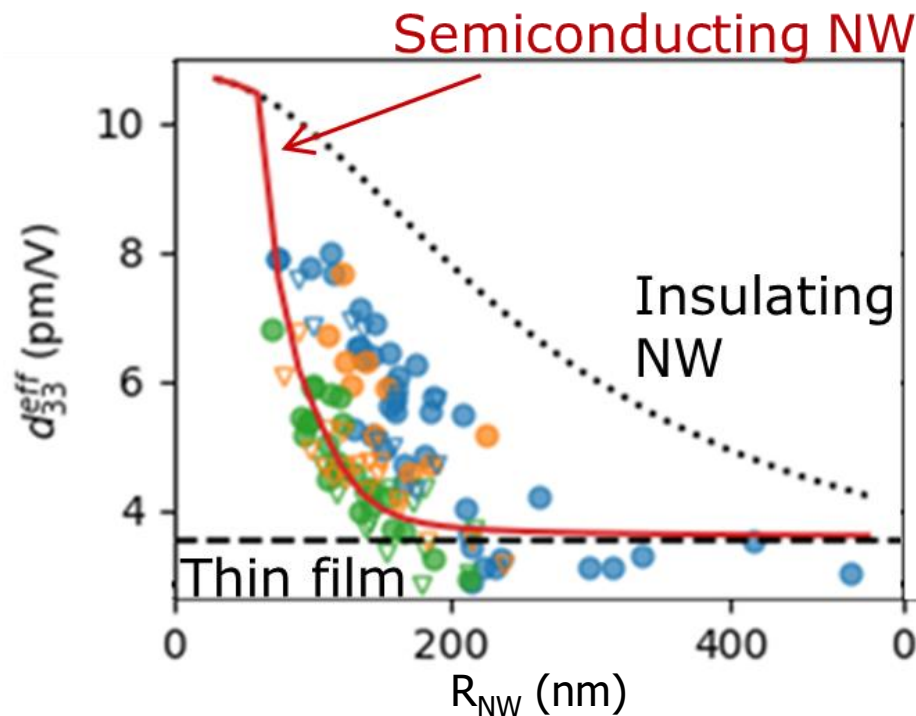
T. Jalabert et al., Nanotechnology 2023

AFM - Piezoelectric Force Microscopy

Effect of ZnO NWs radius

Experiments vs. simulations

Seed layer deposition conditions
(Evaporation)



$t_{seed}-\theta_{deposit}$

- 10nm-RT ▼ 10nm-100°C
- 40nm-RT ▼ 40nm-100°C
- 150nm-RT ▼ 150nm-100°C

Simulation parameters (CBD):

$$N_{it}=10^{13} \text{ eV}^{-1}.\text{cm}^{-2}$$

$$N_d=10^{17} \text{ cm}^{-3}$$

Challenge:

- Mesure doping, surface traps
- Validate the models

T. Jalabert et al., Nanotechnology 2023

- Interest of **piezoelectric semiconducting NWs** in energy conversion applications: flexibility, sensitivity, enhanced properties
- **Nanocomposites** : easy to fabricate and integrate in multiple substrates
- **Surface traps** could explain the high performance reported in experiments of piezo nanocomposites and PFM (NWs vs. thin films)

- **Simulations provide important optimization guidelines :**
 - Nanocomposite performance depends on the NWs growth method, length and radius
 - Reduction of doping, control of surface traps density
 - It is better to use long and thin NWs

- **Piezoelectric composite based on semiconducting NWs could outperform piezo thin films**

Thank you for your attention...

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